

LOSSY KERNELIZATION I

RECENT ADVANCES IN PARAMETERIZED COMPLEXITY

DECEMBER 2017

TEL AVIV

M. S. RAMANUJAN

UNIVERSITY OF WARWICK

LOSSY Kernelization

APPROXIMATION
ALGORITHMS

KERNELIZATION

LOSSY Kernelization

APPROXIMATION
ALGORITHMS



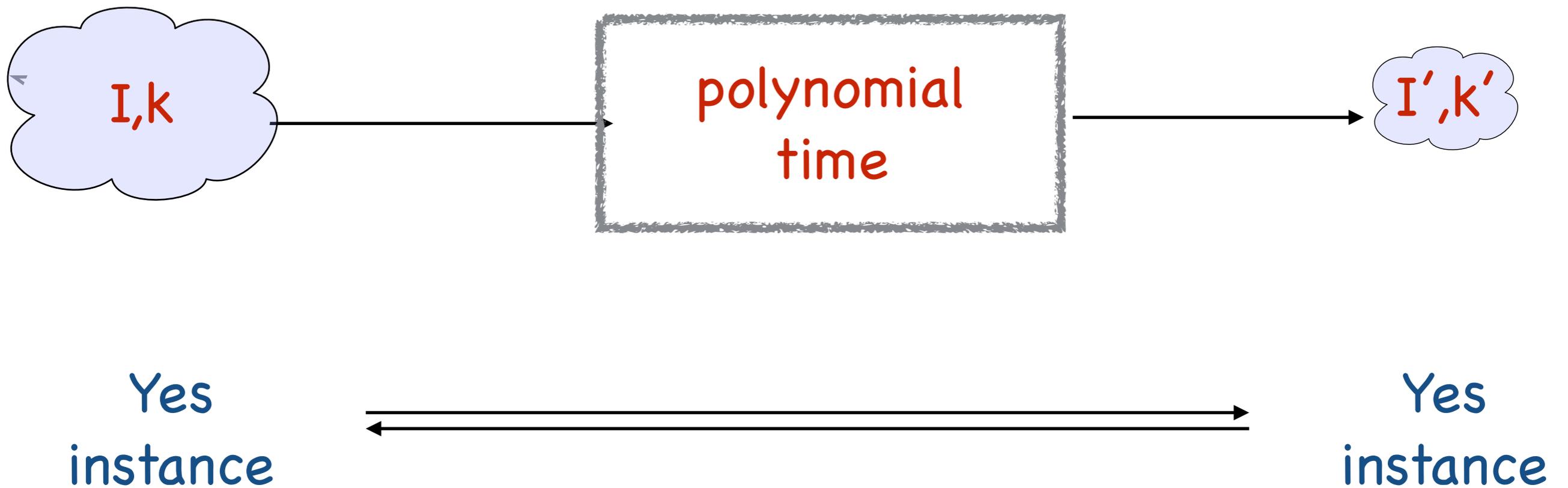
KERNELIZATION

LOSSY



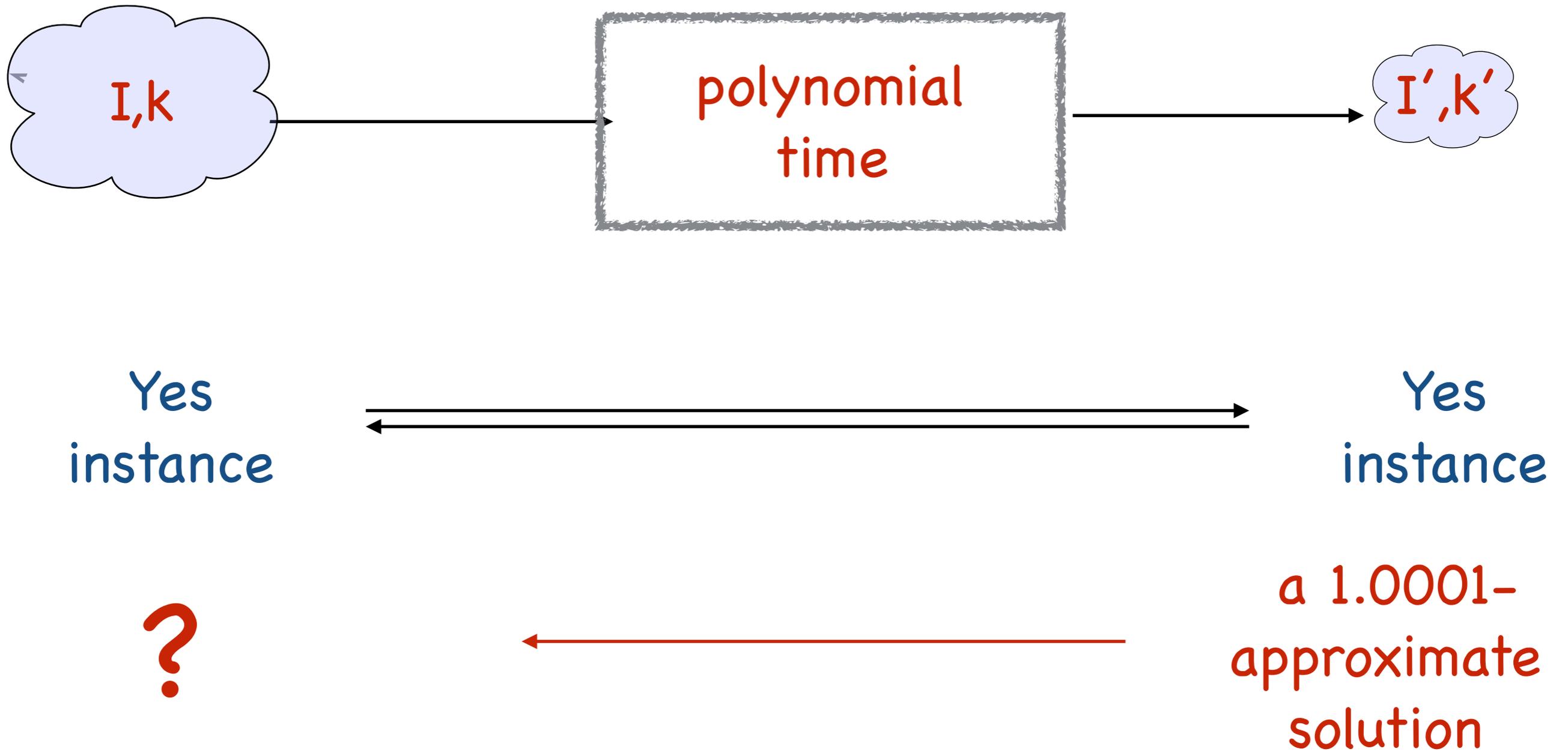
KERNELS

Kernelization

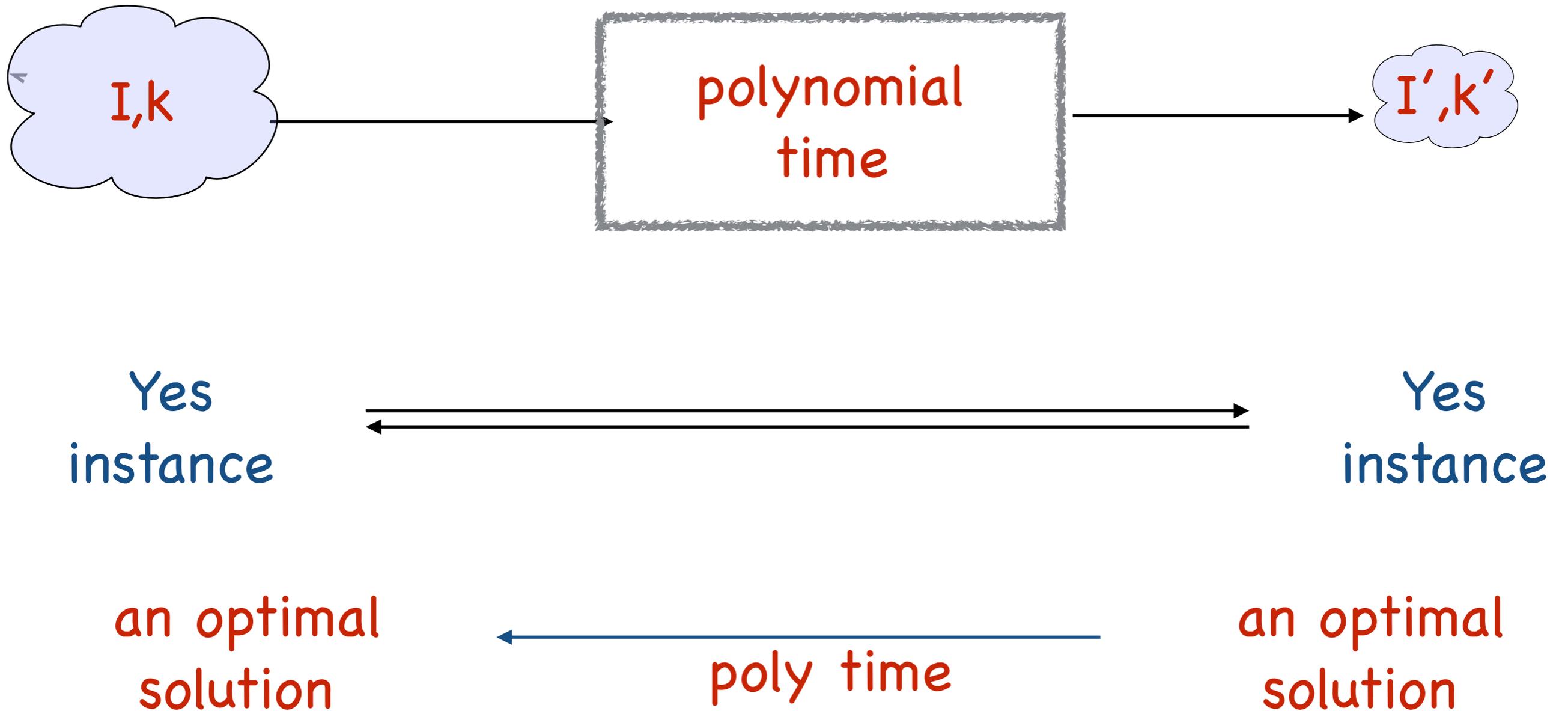


Polynomial Kernel if $|I'| + k' < \text{poly}(k)$

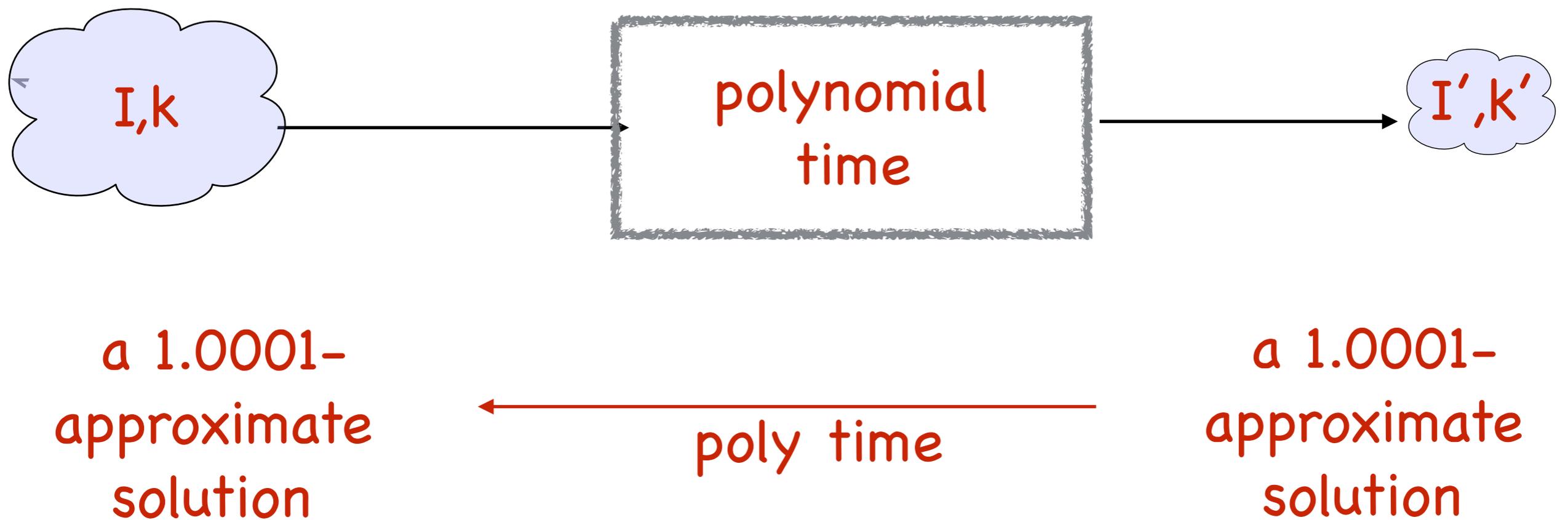
Kernelization



Kernelization



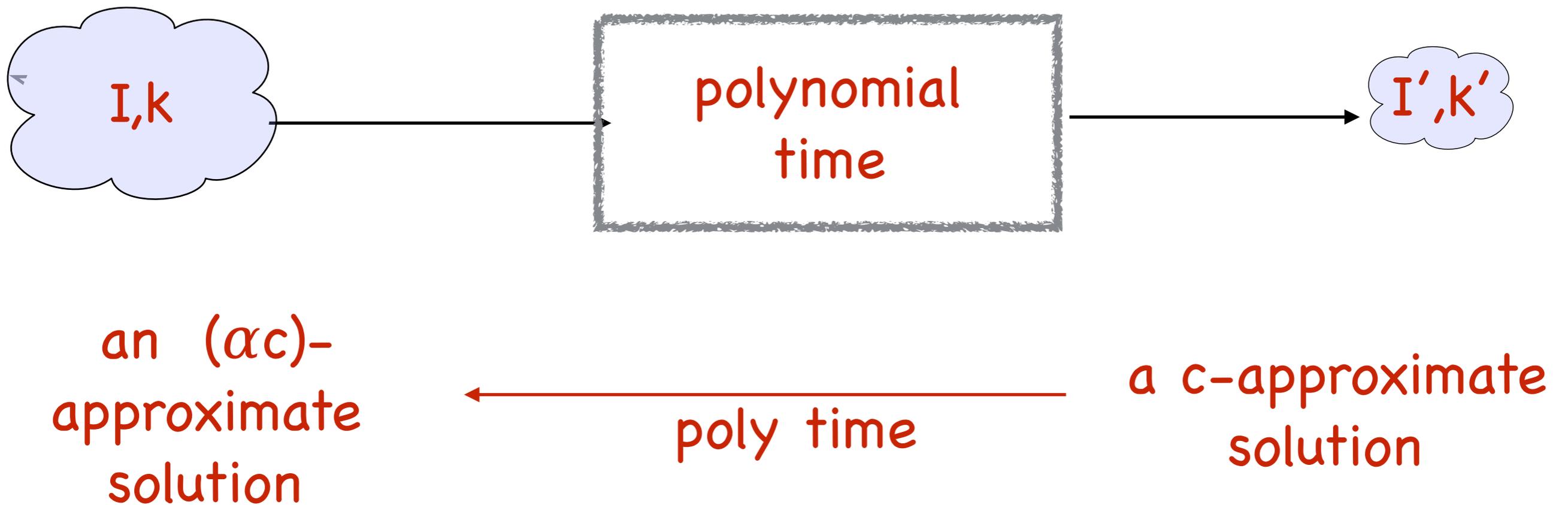
Kernelization



But if we are allowing a loss while solving I' , makes sense to allow a slight loss while lifting a solution back to I

α -approximate Kernelization

[Lokshtanov, Panolan, R., Saurabh, 17]



Polynomial α -approximate Kernel if $|I'| + k' < \text{poly}(k)$

Previously

A problem is FPT
if and only if
it has a kernel.

A problem has an FPT time α -approximation
if and only if
it has a α -approximate kernel

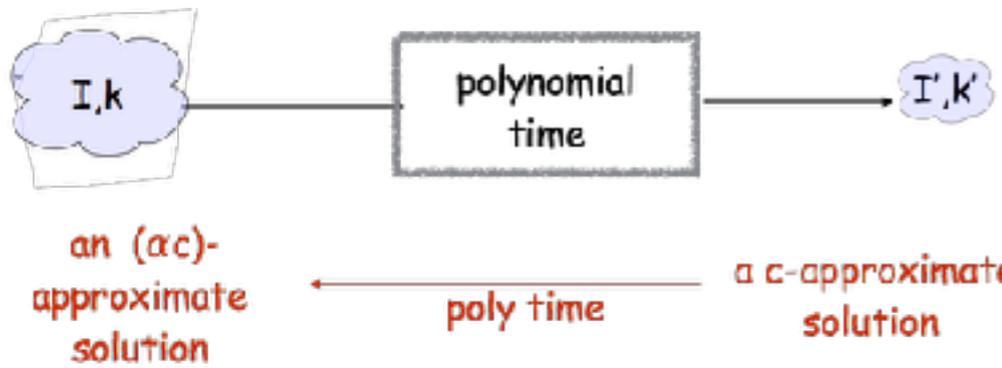
Now

Previously

A problem is in \mathcal{P}
if and only if
it has a constant size kernel.

A problem has a poly time α -approximation
if and only if
it has a constant size α -approximate kernel

Now



A problem has a poly time α -approximation if and only if it has a constant size α -approximate kernel



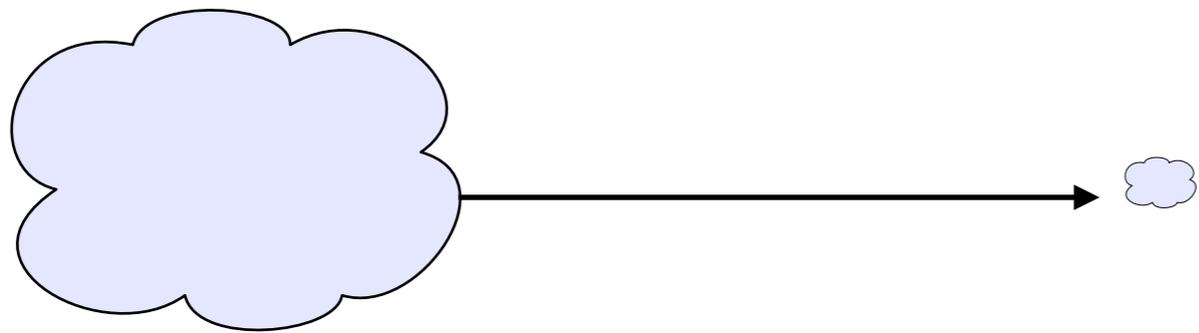
we are given a poly time α -approx algo

WANT TO DEFINE THIS OBJECT

(I,k)

(I',k')

an arbitrary instance of constant size

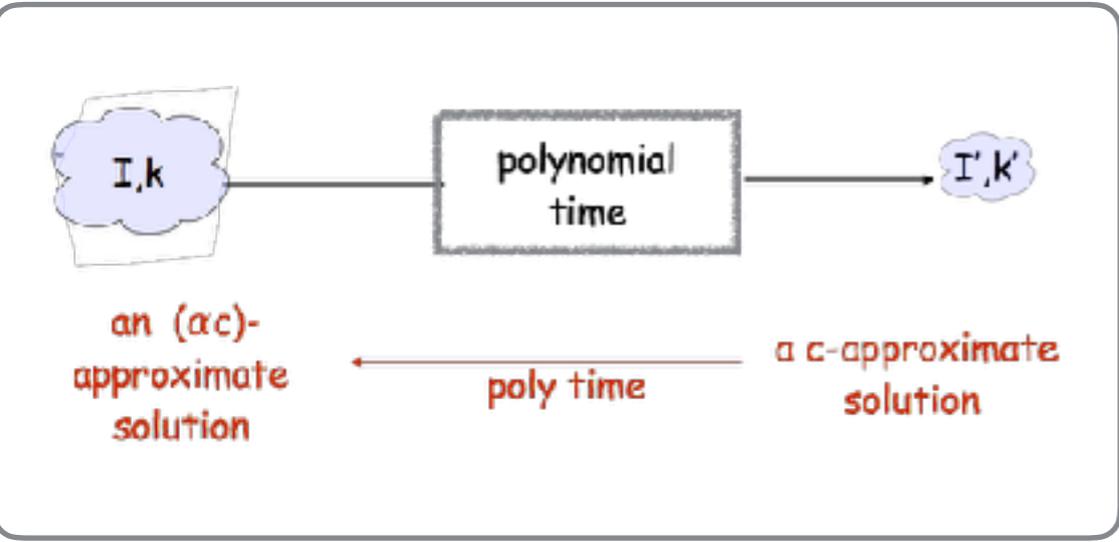


an (α) -approximate solution

$\xleftarrow{\text{run poly time } \alpha\text{-approximation on } (I,k)}$ a c -approximate solution



A problem has a poly time α -approximation if and only if it has a constant size α -approximate kernel

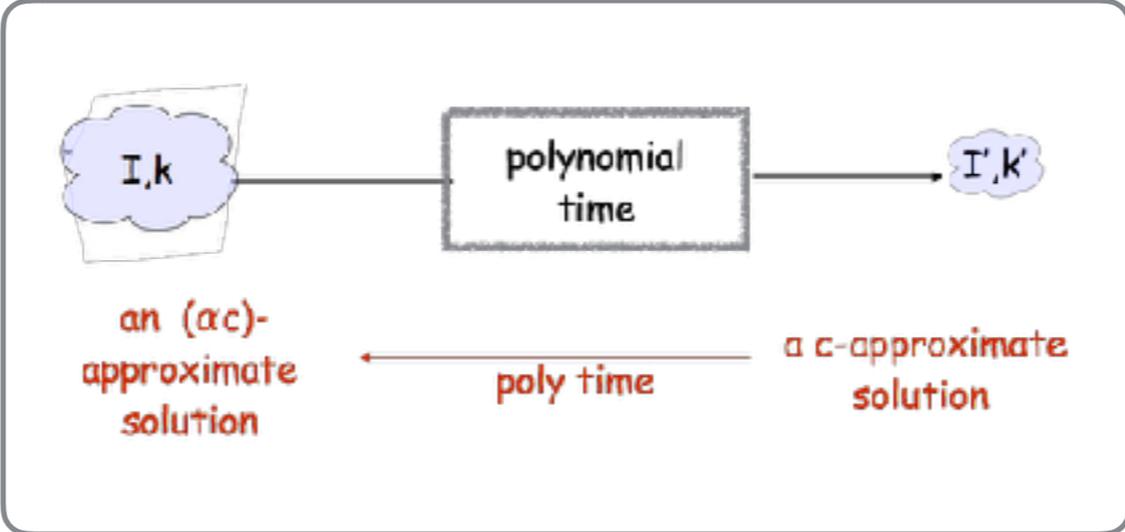


we are given this object

WANT TO DEFINE THIS OBJECT

poly time α -approximation

I,k



find a 1-approximate solution

an α -approximate solution

α -approximate Kernelization

A problem has a poly time α -approximation
if and only if
it has a constant size α -approximate kernel



want to design α -approximate
kernel where

α beats best known
approx bound

size beats best known
kernel bound

Max SAT

Given a CNF formula, what is the maximum number of clauses which can be satisfied?

APX-hard
[BGLR 93]

no kernel of size $\text{poly}(n)$
[Fortnow Santhanam, 08]



want to design α -approximate
kernel where

$\alpha = (1 - \epsilon)$

size is polynomial

APX-hard
[BGLR 93]

Max SAT

no kernel of size $\text{poly}(n)$
[FS 08]

Set $d = \log(2/\epsilon)$

if at most $\epsilon m/2$ clauses have
size at most d

otherwise

then a random assignment will leave
at most $\epsilon m/2 + m/2^d = \epsilon m$ clauses
unsatisfied

$\epsilon m/2 \leq \# \text{ small clauses} \leq n^{\log(2/\epsilon)}$

at least $(1-\epsilon)m$ clauses satisfied!

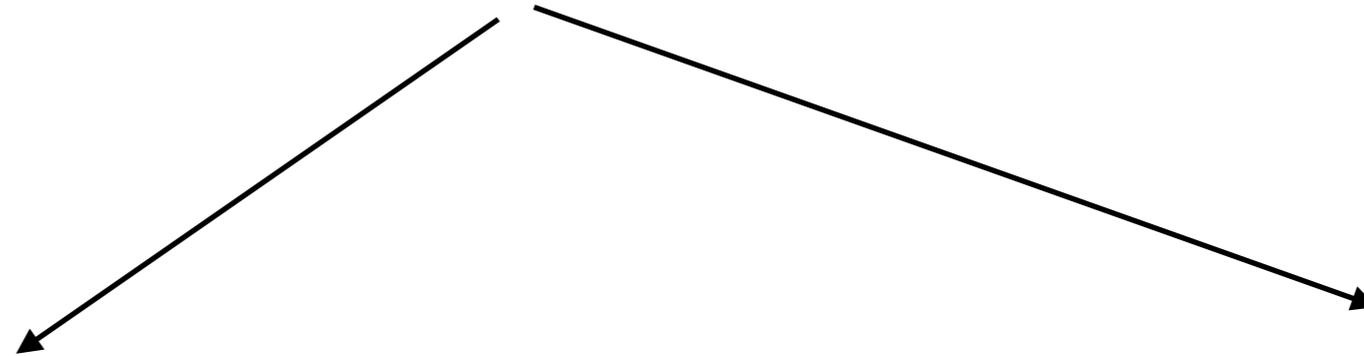
$m = O(n^{\log(2/\epsilon)})$

APX-hard
[BGLR 93]

Max SAT

no kernel of size $\text{poly}(n)$
[FS 08]

Set $d = \log(2/\epsilon)$



at least $(1-\epsilon)m$ clauses satisfied!

$m = O(n^{\log(2/\epsilon)})$

OR

find a $(1-\epsilon)$ -approximation

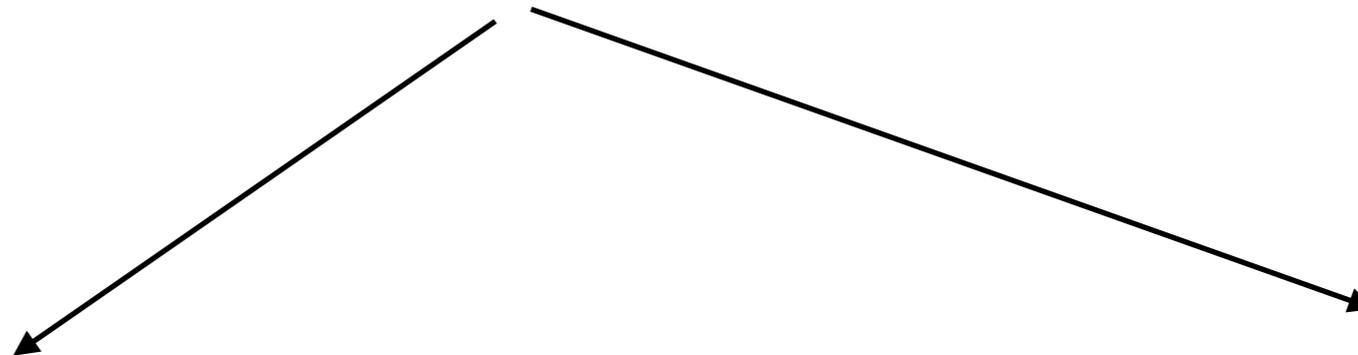
already have
an $O(n^d)$ kernel

APX-hard
[BGLR 93]

Max SAT

no kernel of size $\text{poly}(n)$
[FS 08]

Set $d = \log(2/\epsilon)$



at least $(1-\epsilon)m$ clauses satisfied!

$$m = O(n^{\log(2/\epsilon)})$$

OR

find a $(1-\epsilon)$ -approximation

already have
an $O(n^c)$ kernel



a $(1-\epsilon)$ -approximate kernel of
size $O(n^d)$

For free!

Steiner Tree

Given a graph G , and vertex subset R , find a smallest connected subgraph of G containing R ?

STEINER
TREE

1.39-approximable [BGRS '10]

$2^{|R|}$ kernel

APX hard

no polynomial kernel [DLS 09]

(1+ ϵ)-approximate
kernel of
polynomial size

[Borchers and Du, 1995]

Partial Vertex Cover

$(4/3-\epsilon)$ -Appx

Partial Vertex
Cover

No kernel unless
 $FPT=W[1]$

APX-hard

[Marx, 2008]

Partial Vertex Cover

$(4/3-\epsilon)$ -Appx

Partial Vertex
Cover

APX-hard

No kernel unless
 $FPT=W[1]$

$(1+\epsilon)$ -approximate
kernel of
polynomial size

[Marx, 2008]

More approximate kernels

Problem Name	Apx.	Apx. Hardness	Kernel	Apx. Ker. Fact.	Appx. Ker. Size
CONNECTED V.C.	2 [4, 52]	$(2 - \epsilon)$ [41]	no $k^{\mathcal{O}(1)}$ [22]	$1 < \alpha$	$k^{f(\alpha)}$
CYCLE PACKING	$\mathcal{O}(\log n)$ [51]	$(\log n)^{\frac{1}{2} - \epsilon}$ [33]	no $k^{\mathcal{O}(1)}$ [8]	$1 < \alpha$	$k^{f(\alpha)}$
DISJOINT FACTORS	2	no PTAS	no $ \Sigma ^{\mathcal{O}(1)}$ [8]	$1 < \alpha$	$ \Sigma ^{f(\alpha)}$
LONGEST PATH	$\mathcal{O}(\frac{n}{\log n})$ [2]	$2^{(\log n)^{1 - \epsilon}}$ [39]	no $k^{\mathcal{O}(1)}$ [6]	any α	no $k^{\mathcal{O}(1)}$
SET COVER/n	$\ln n$ [55]	$(1 - \epsilon) \ln n$ [47]	no $n^{\mathcal{O}(1)}$ [22]	any α	no $n^{\mathcal{O}(1)}$
HITTING SET/n	$\mathcal{O}(\sqrt{n})$ [48]	$2^{(\log n)^{1 - \epsilon}}$ [48]	no $n^{\mathcal{O}(1)}$ [22]	any α	no $n^{\mathcal{O}(1)}$
VERTEX COVER	2 [55]	$(2 - \epsilon)$ [21, 41]	$2k$ [15]	$1 < \alpha < 2$	$2(2 - \alpha)k$ [30]
d -HITTING SET	d [55]	$d - \epsilon$ [20, 41]	$\mathcal{O}(k^{d-1})$ [1]	$1 < \alpha < d$	$\mathcal{O}((k \cdot \frac{d-\alpha}{\alpha-1})^{d-1})$ [30]
STEINER TREE	1.39 [11]	no PTAS [12]	no $k^{\mathcal{O}(1)}$ [22]	$1 < \alpha$	$k^{f(\alpha)}$
OLA/v.c.	$\mathcal{O}(\sqrt{\log n \log \log n})$ [28]	no PTAS [3]	$f(k)$ [43]	$1 < \alpha < 2$	$f(\alpha)2^k k^4$
PARTIAL V.C.	$(\frac{4}{3} - \epsilon)$ [27]	no PTAS [49]	no $f(k)$ [35]	$1 < \alpha$	$f(\alpha)k^5$

Lot of these problems have played a critical role in the development of kernel lower bound theory

Problems parameterized by solution value

Problems parameterized by solution value

What is k in this context? k is a threshold on the size of solutions we want to output

Connected Vertex Cover

A subset of vertices
such that
EVERY edge of G is
incident on
some vertex in this
subset.

Given a graph G , find a smallest **vertex cover** which induces a connected subgraph?

2-approximable [AHH 93]

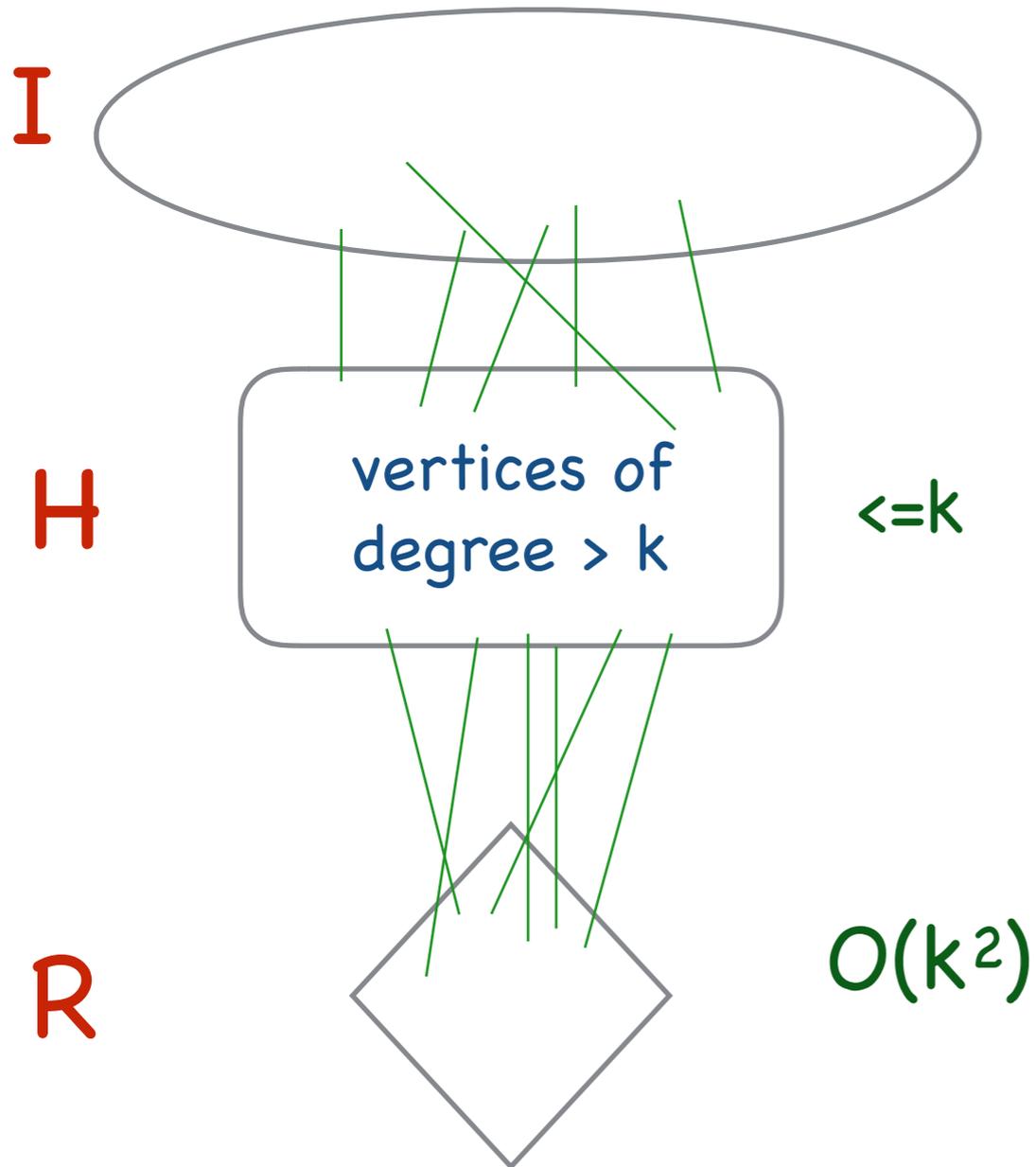
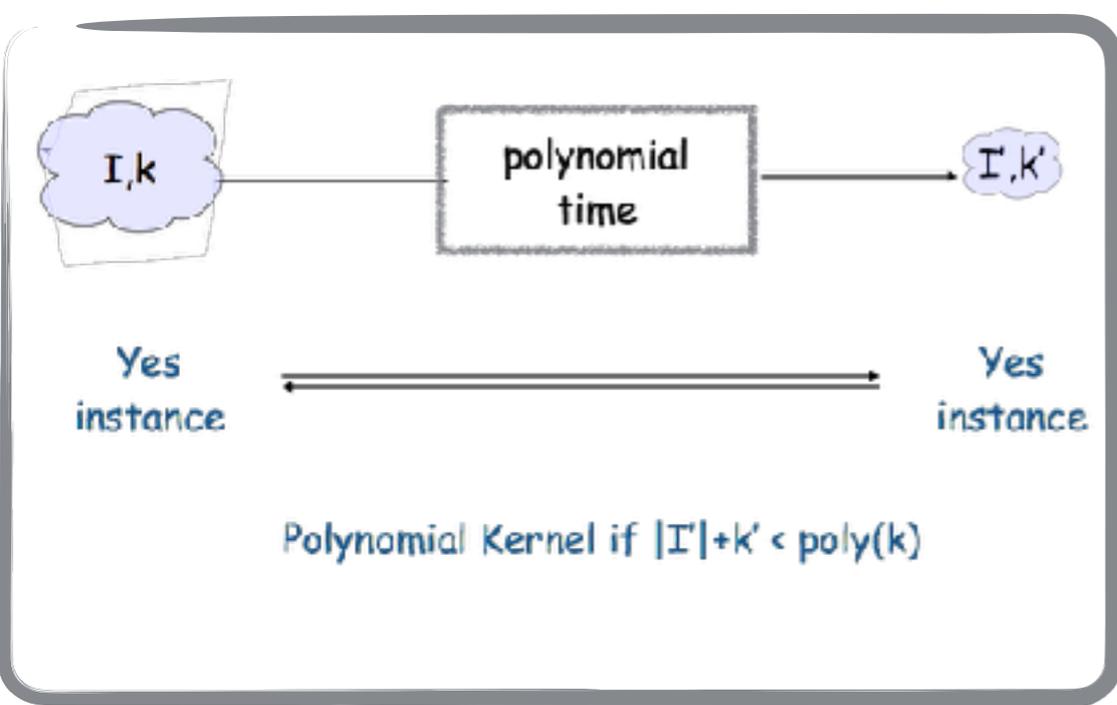
2^k kernel

no $(2-\epsilon)$ approximation under
UGC [KR 08]

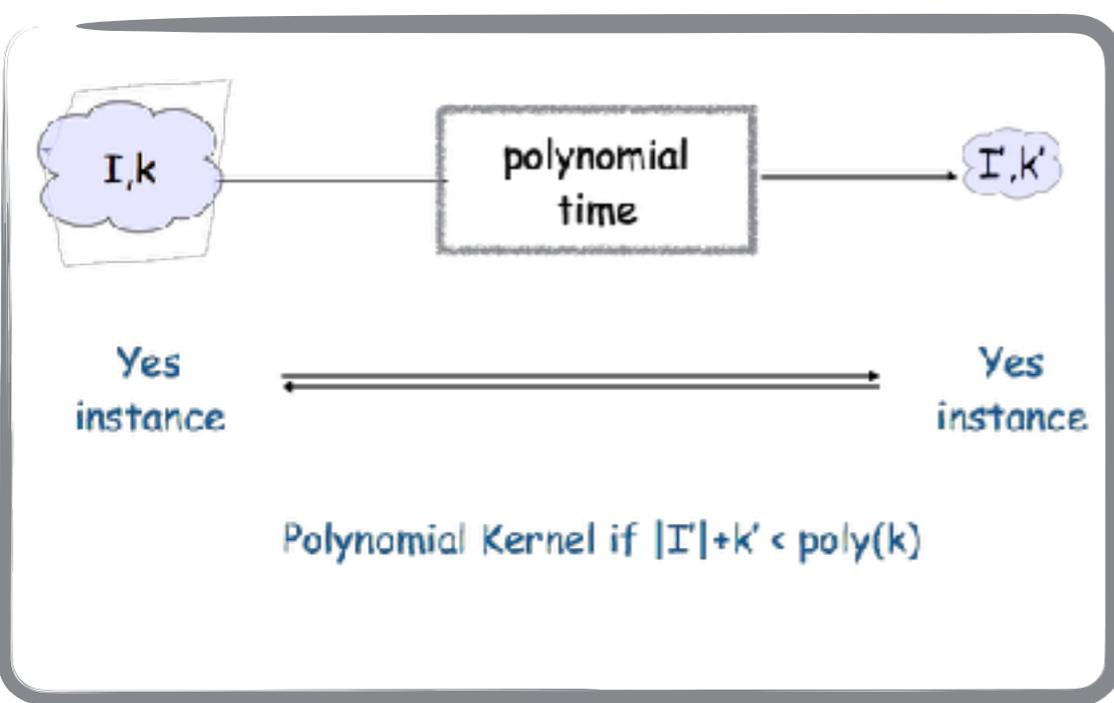
no polynomial kernel [DLS 09]

Vertex Cover

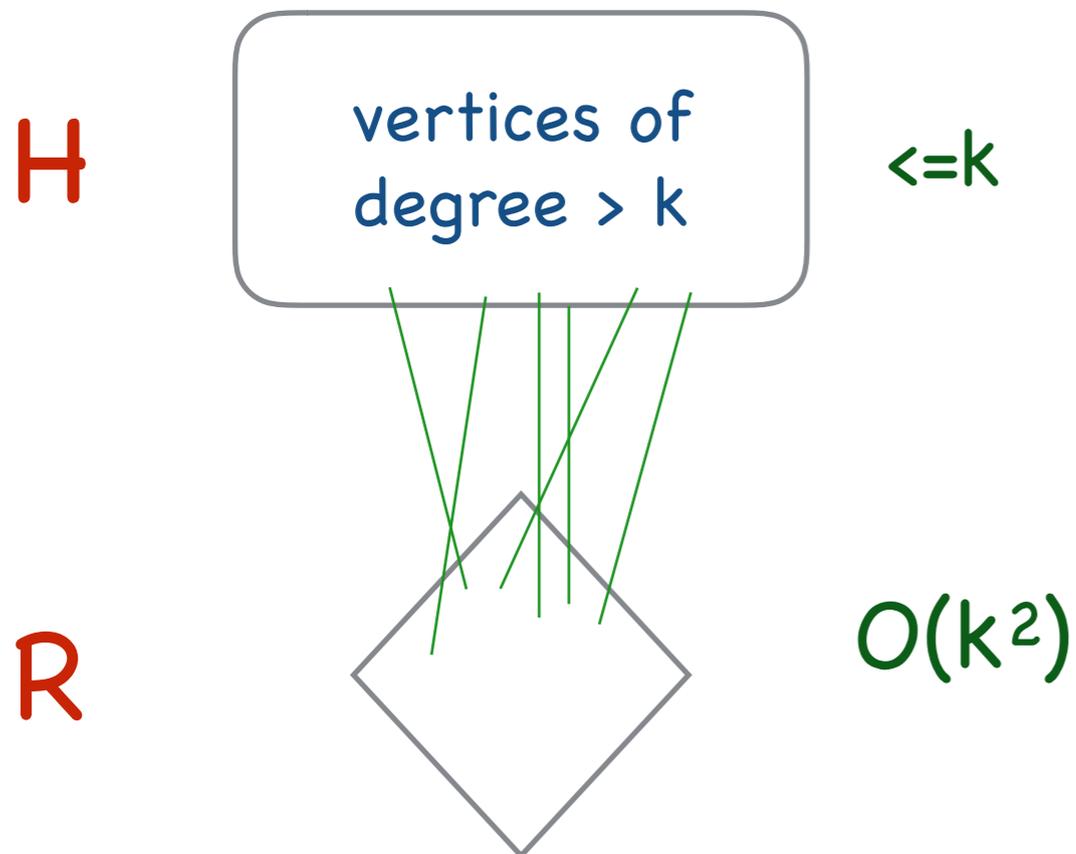
- **H** = vertices of degree at least $k+1$
- **R** = vertices with at least one neighbor not in **H**.
- **I** = remaining vertices, have all neighbors in **H**, must be independent.



Vertex Cover

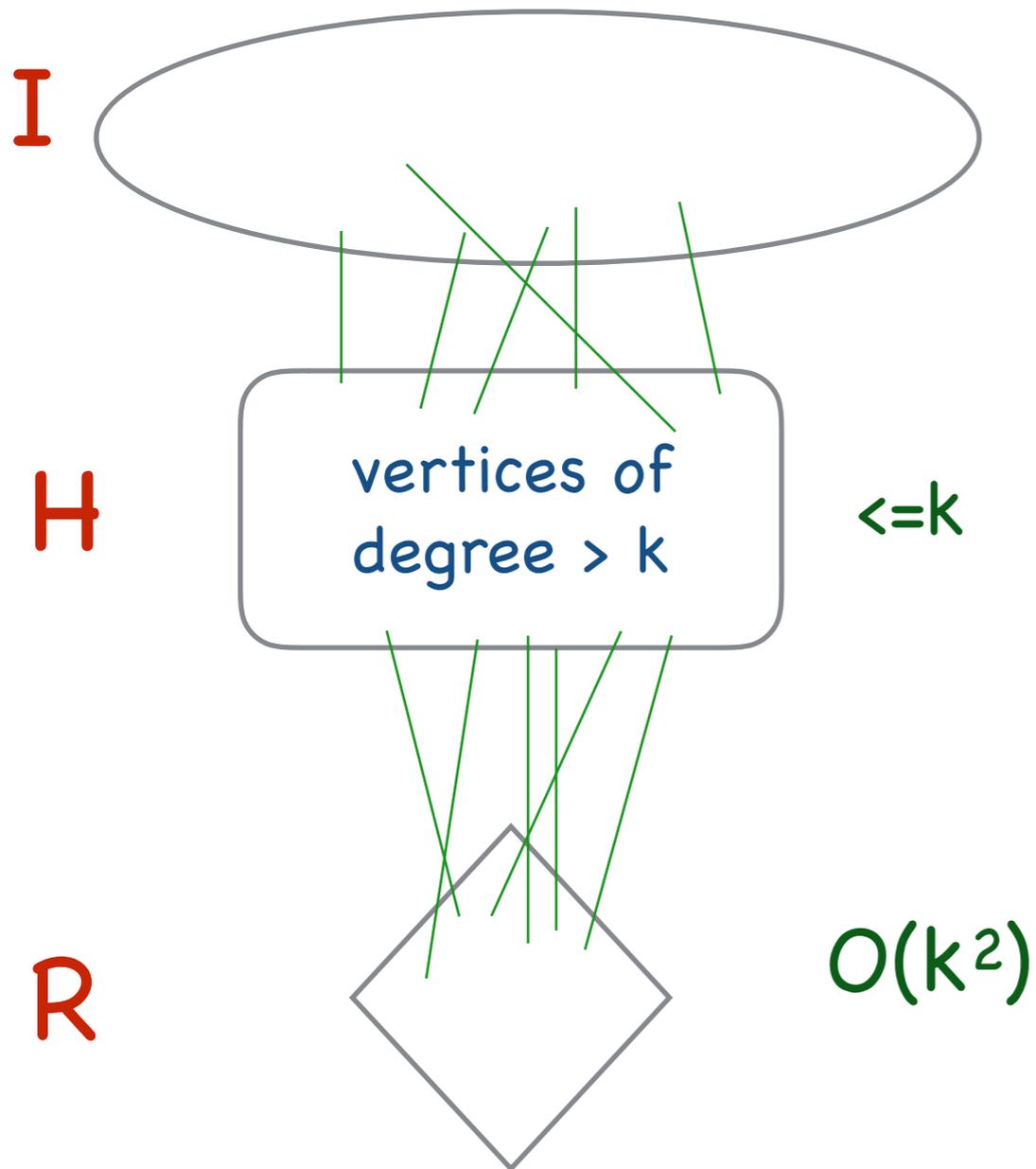
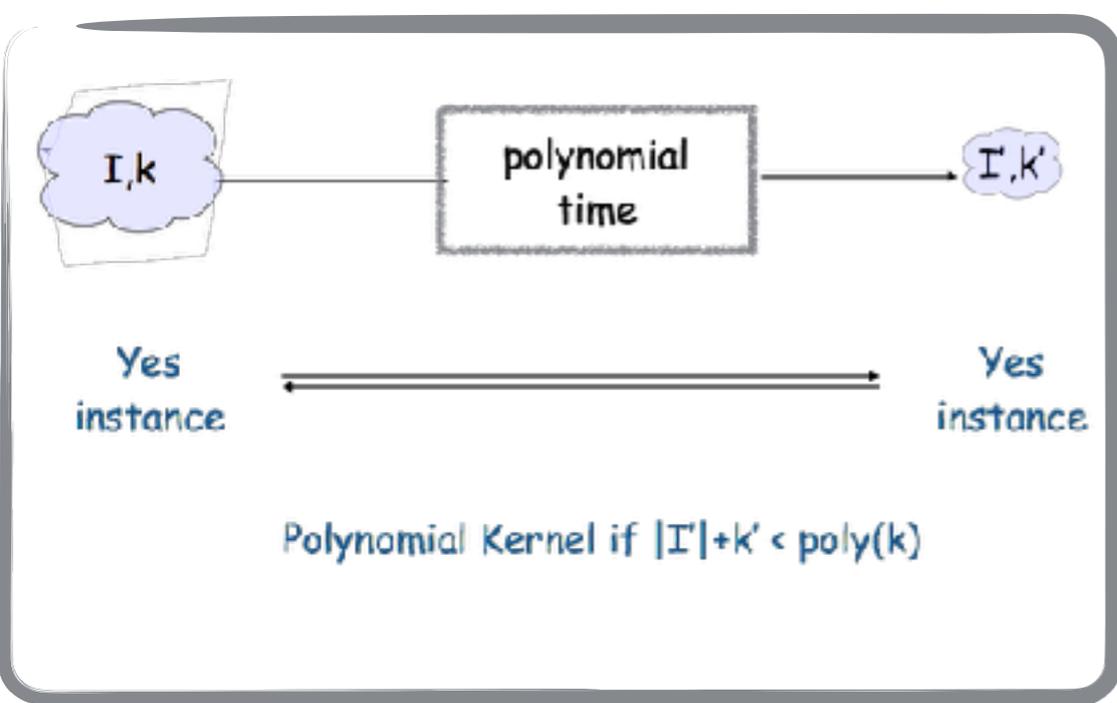


- H = vertices of degree at least $k+1$
- R = vertices with at least one neighbor not in H .
- I = remaining vertices, have all neighbors in H , must be independent.

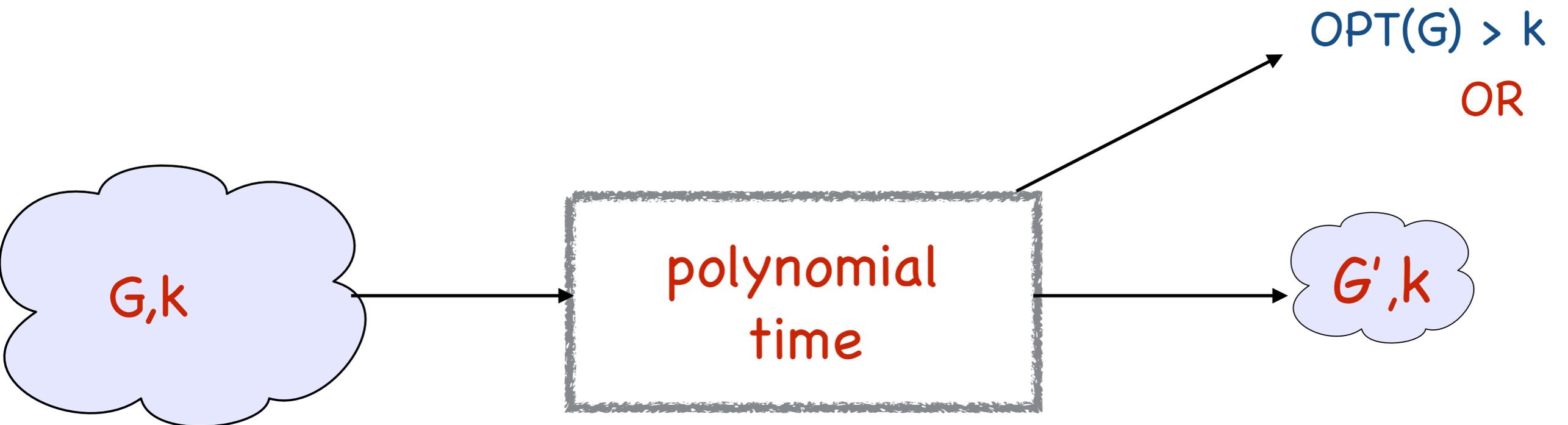


CONNECTED Vertex Cover

- H = vertices of degree at least $k+1$
- R = vertices with at least one neighbor not in H .
- I = remaining vertices, have all neighbors in H , must be independent.



Connected Vertex Cover

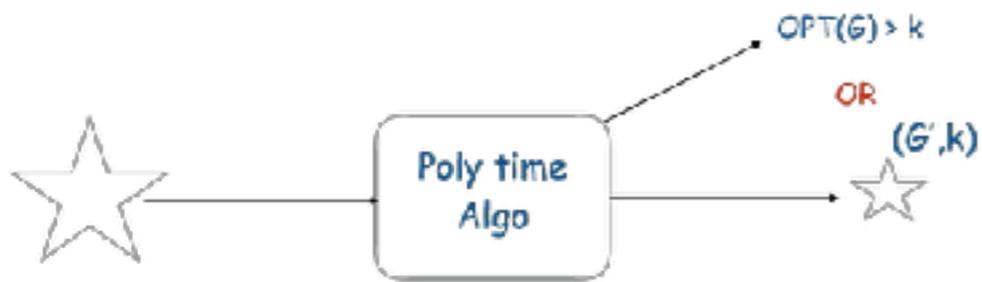


(a) a feasible solution \longleftarrow every feasible solution

(b) $\text{OPT}(G) (1+\epsilon) \geq \text{OPT}(G')$

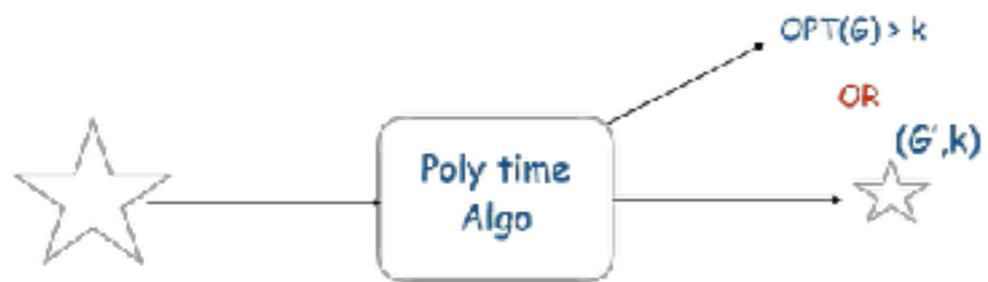
(c) $|V(G')| \leq k^{O(1/\epsilon)}$

Hint: Somehow capture interesting (approx) solutions within $k^{O(1/\epsilon)}$ vertices.

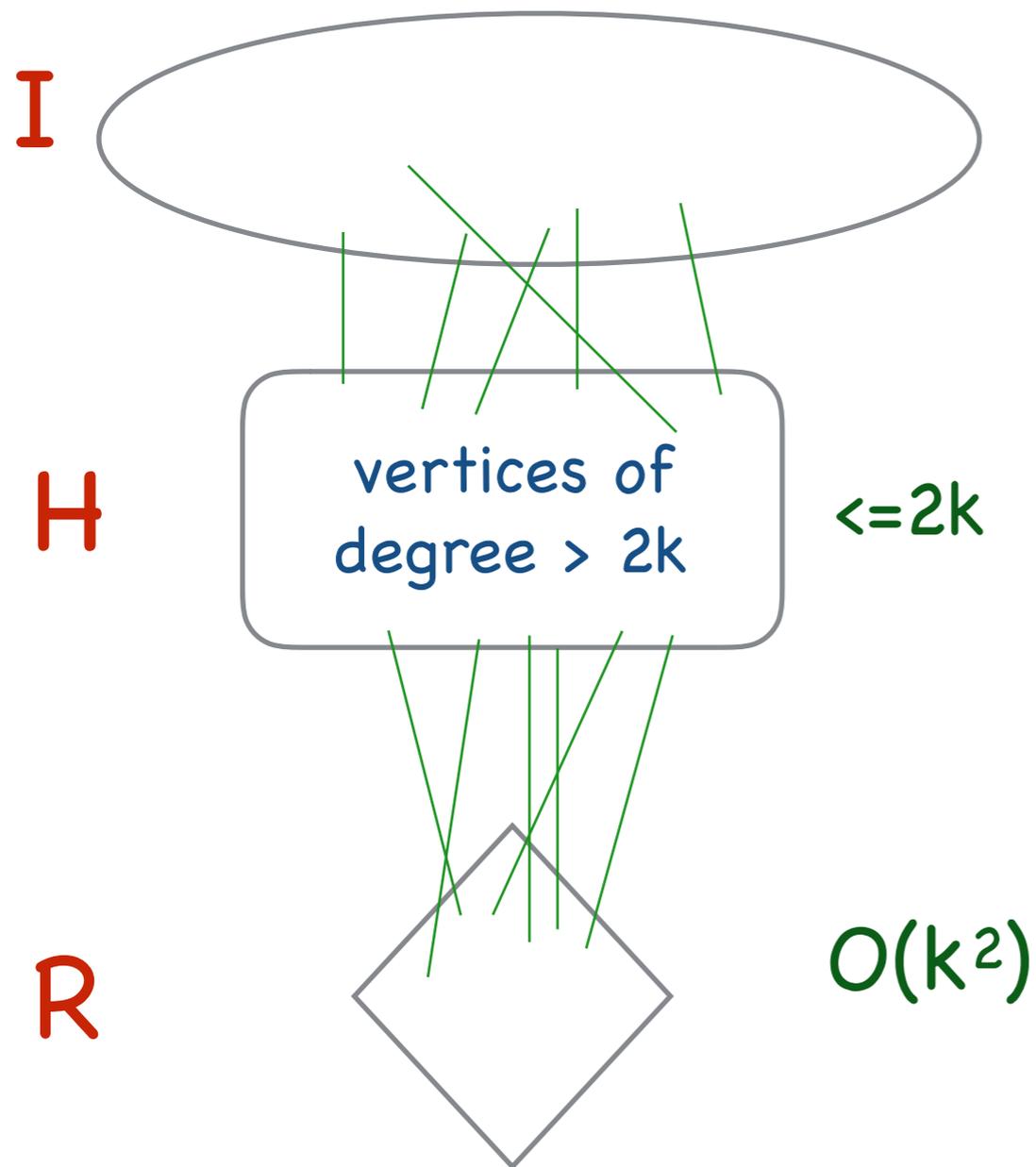


- (a) a feasible solution \longleftarrow every feasible solution
- (b) $OPT(G) (1+\epsilon) \geq OPT(G')$
- (c) $|V(G')| \leq k^{O(1/\epsilon)}$

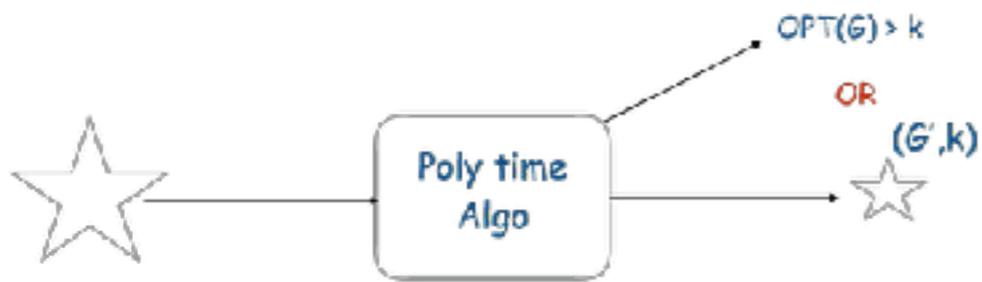
- Run the 2-approximation algorithm.
- If output $> 2k$, then say $OPT(G) > k$ and stop.
- Otherwise, $OPT(G) \leq 2k$



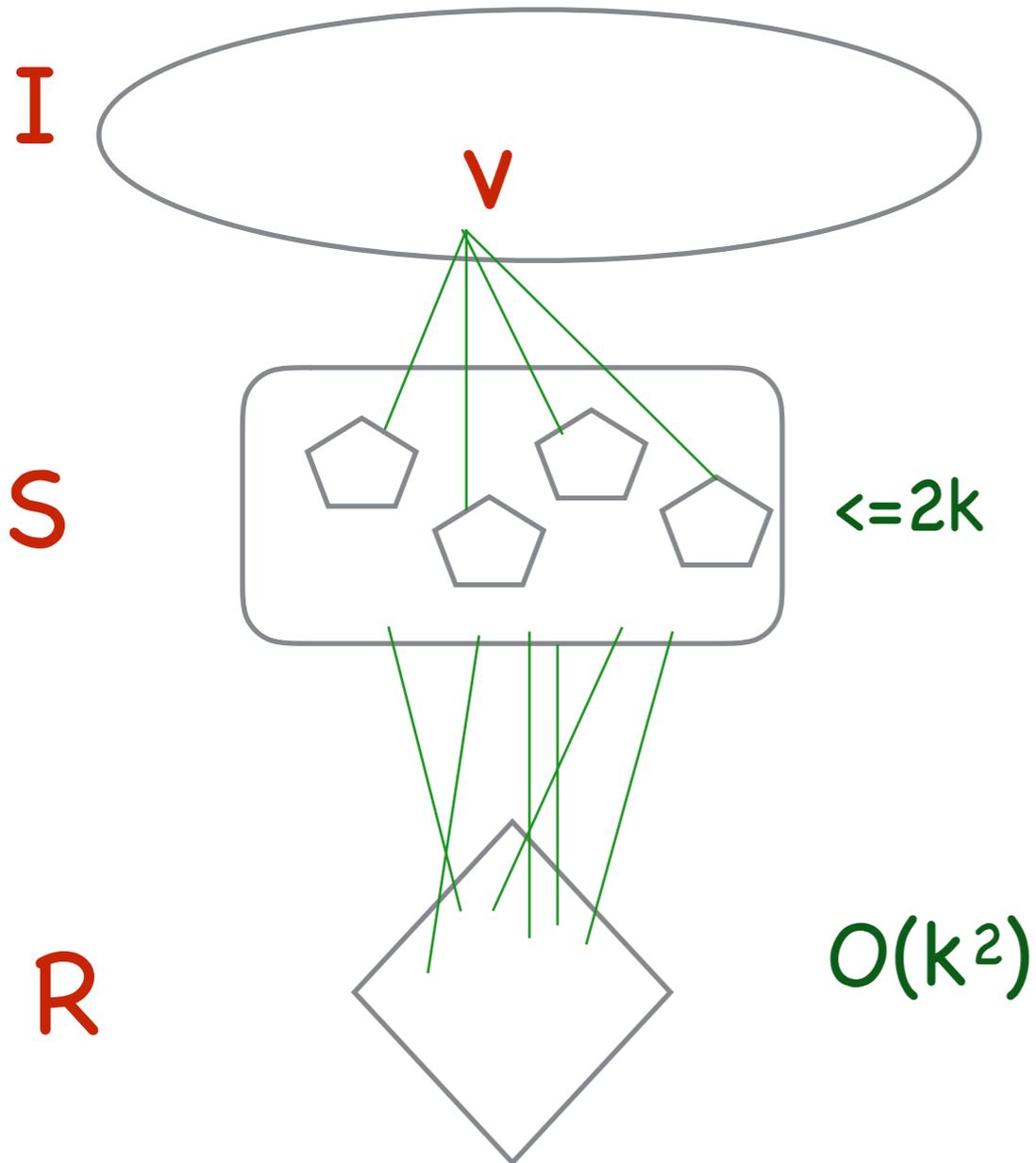
- (a) a feasible solution \longleftrightarrow every feasible solution
- (b) $OPT(G) (1+\epsilon) \geq OPT(G')$
- (c) $|V(G')| \leq k^{O(1/\epsilon)}$



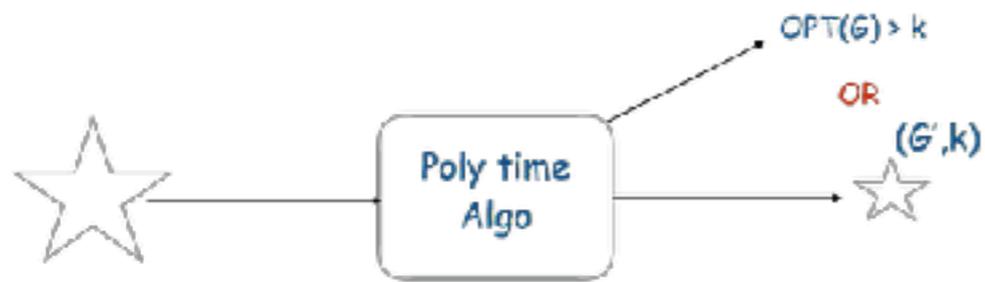
- **H** = vertices of degree at least $2k+1$
- **R** = vertices incident on at least one edge not incident on **H**.
- **I** = remaining vertices, must be independent.
- add a pendant to vertices in **H**.



- (a) a feasible solution \longleftarrow every feasible solution
- (b) $OPT(G) (1+\epsilon) \geq OPT(G')$
- (c) $|V(G')| \leq k^{O(1/\epsilon)}$



- $S := H, d = 2/\epsilon$
- As long as there is a vertex v in I seeing $\geq d$ components of $G[S]$, set $S := S \cup \{v\}$, add pendant to v .
- Procedure stops after $\leq \epsilon/2 |H| \leq \epsilon OPT(G)$ steps
- So, $OPT(G') \leq OPT(G) (1+\epsilon)$
- What about size of G' ?

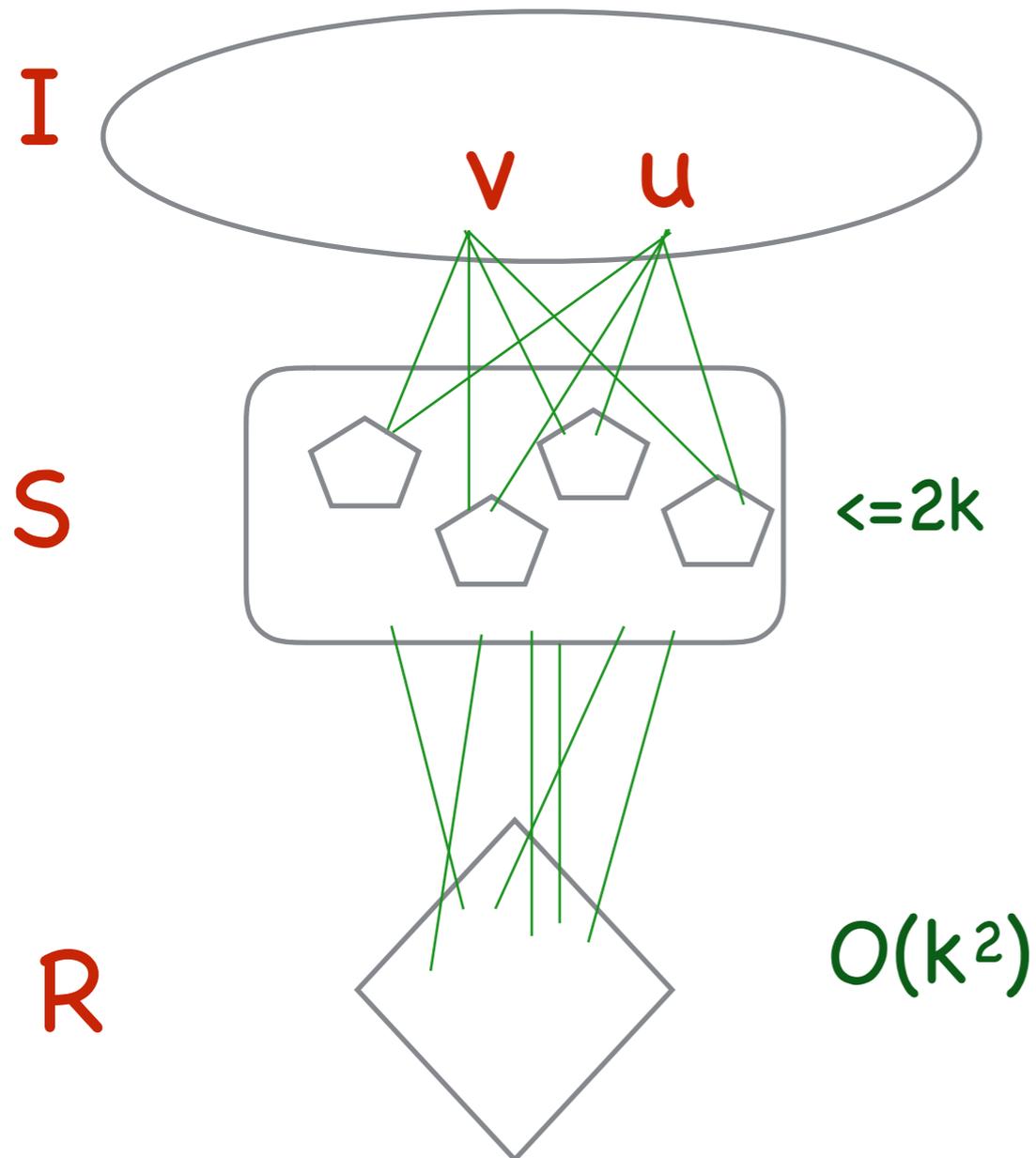


- (a) a feasible solution \longleftarrow every feasible solution
- (b) $OPT(G) (1+\epsilon) \geq OPT(G')$
- (c) $|V(G')| \leq k^{O(1/\epsilon)}$

- if u and v have the same neighbouring components in $G[S]$, just delete one.

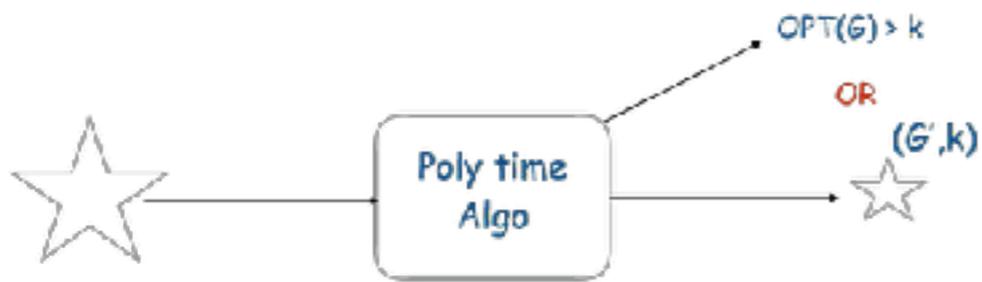
- Finally, we are left with

$$|I| \leq k^{O(d)} = k^{O(1/\epsilon)}$$



- Size bound holds.

- $(1+\epsilon)$ -approximate kernel of size $k^{O(1/\epsilon)}$ for Conn. Vertex Cover.

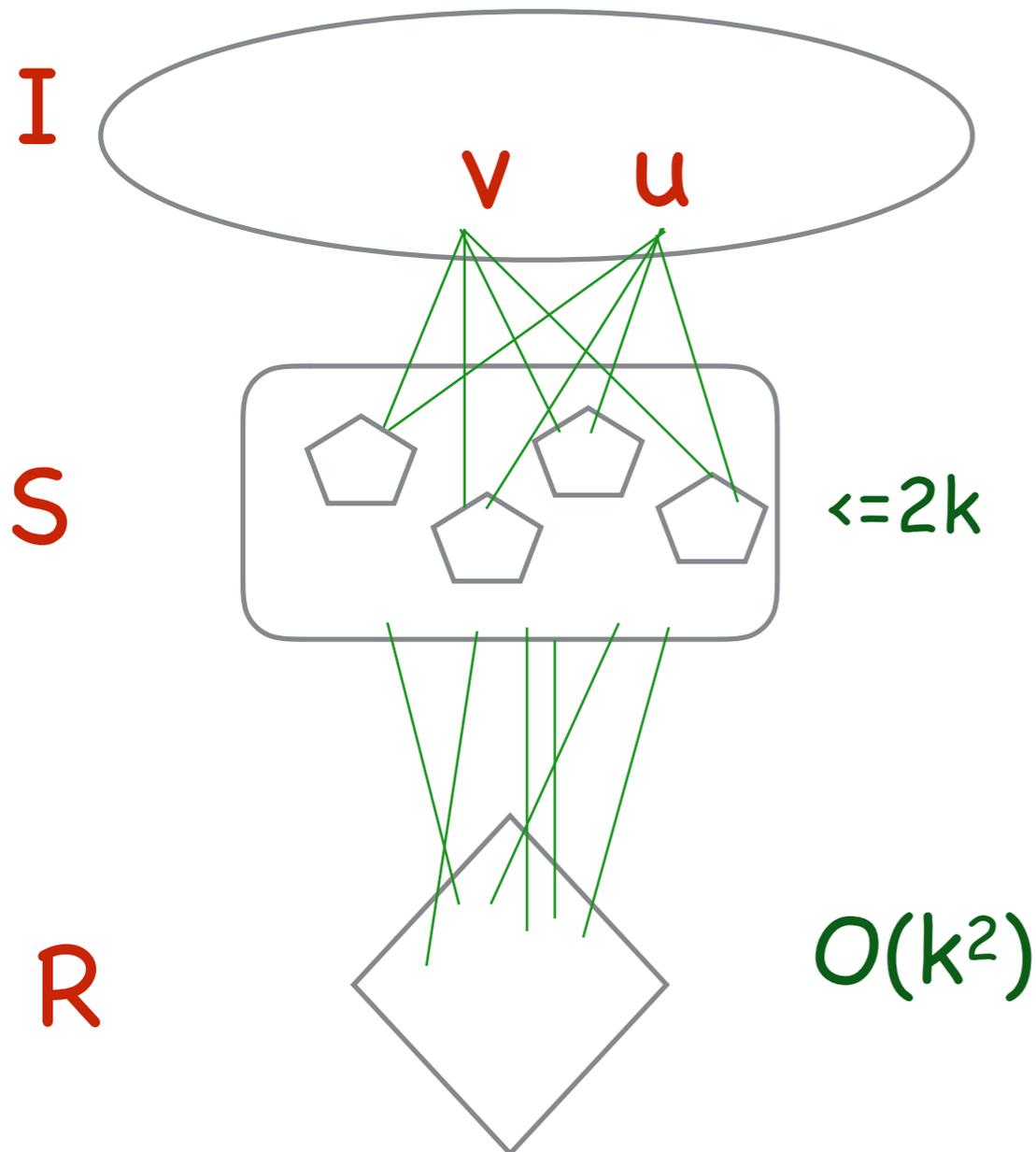


- (a) a feasible solution \longleftarrow every feasible solution
- (b) $OPT(G) (1+\epsilon) \geq OPT(G')$
- (c) $|V(G')| \leq k^{O(1/\epsilon)}$

Open Problem:

Is there a $(1+\epsilon)$ -approx. kernel of size $f(1/\epsilon) k^{O(1)}$

Efficient PSAKS?



- $(1+\epsilon)$ -approximate kernel of size $k^{O(1/\epsilon)}$ for Conn. Vertex Cover.

Polynomial Size Approximate

Kernelization Scheme

We just saw a PSAKS for connected vertex cover.

Another example: H -hitting set

Given a graph G , find a smallest H -hitting set which induces a connected subgraph?

H is a fixed finite family of finite graphs.

We want to hit every copy of a graph in H , which is in G .

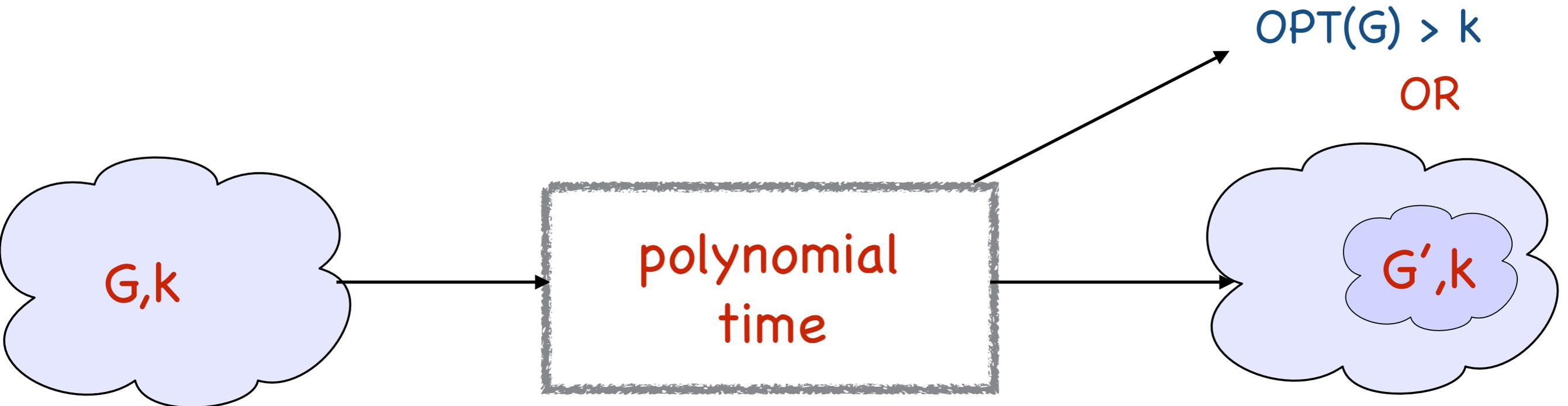
When $H=\{K_2\}$, then we have the **Connected Vertex Cover** problem.

An approximate kernel for H -hitting set

The connected H -hitting set problem has a $(1+\epsilon)$ -approximate kernelization of polynomial size for every $0 < \epsilon < 1$.

[Eiben, Hermelin, R. 17]

Known kernel for H-hitting set

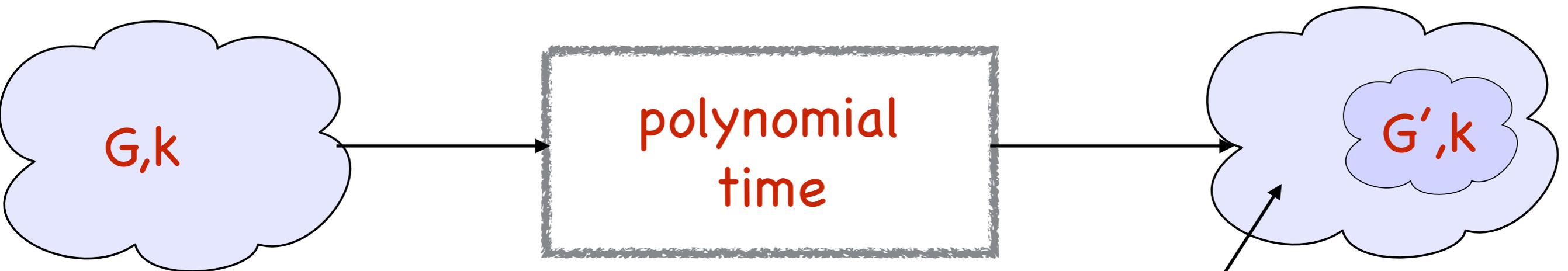


Every (not necessarily connected) H-hitting set of G' of size at most k is a (not necessarily connected) H-hitting set of G .

$$|V(G')| = k^{O(d)}$$

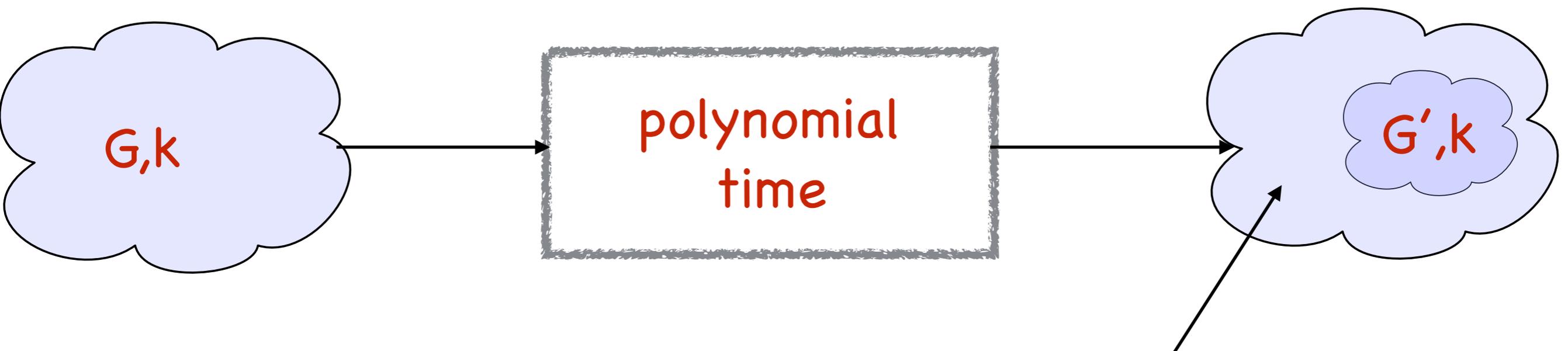
where $d = \text{size of largest graph in } H$

Known kernel for H-hitting set



Like for connected vertex cover, vertices outside G' are only needed for connectivity

Known kernel for H-hitting set



Like for connected vertex cover, vertices outside G' are only needed for connectivity

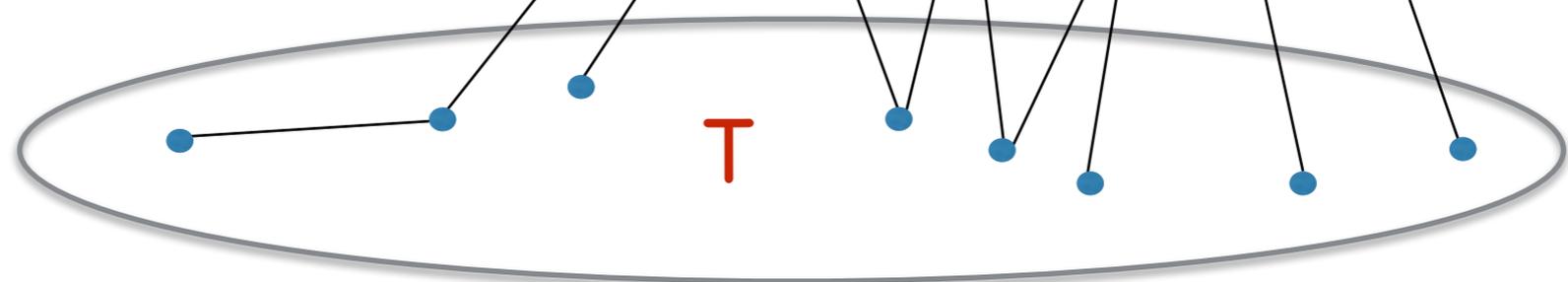
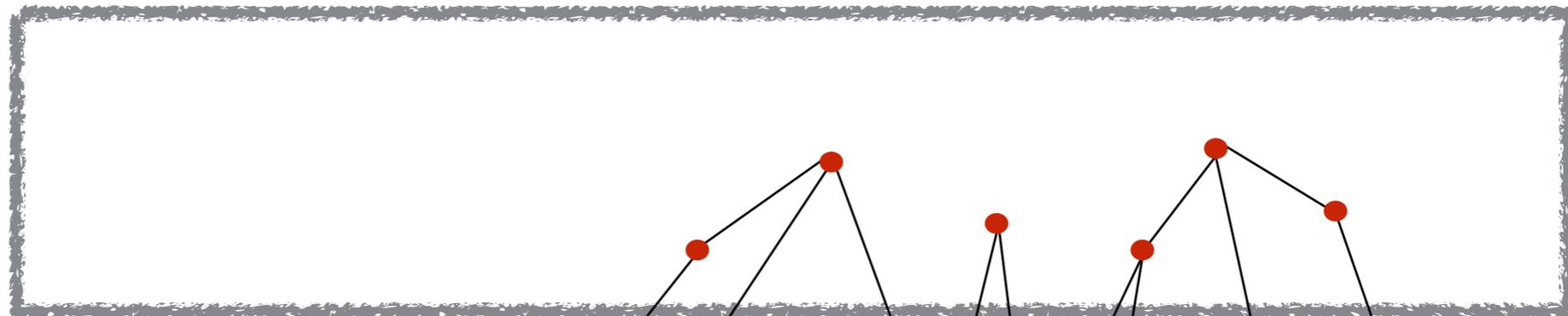
But again, which vertices?
Even worse, $G \setminus G'$ is not so simple as for vertex cover!

Hint: We only want to preserve approximate connectivity between solution vertices in $V(G')$.

Digression: Steiner tree approximate kernel

A Steiner tree for a set of terminals T is a connected subgraph of G spanning the vertices in T .

$V(G) \setminus T$

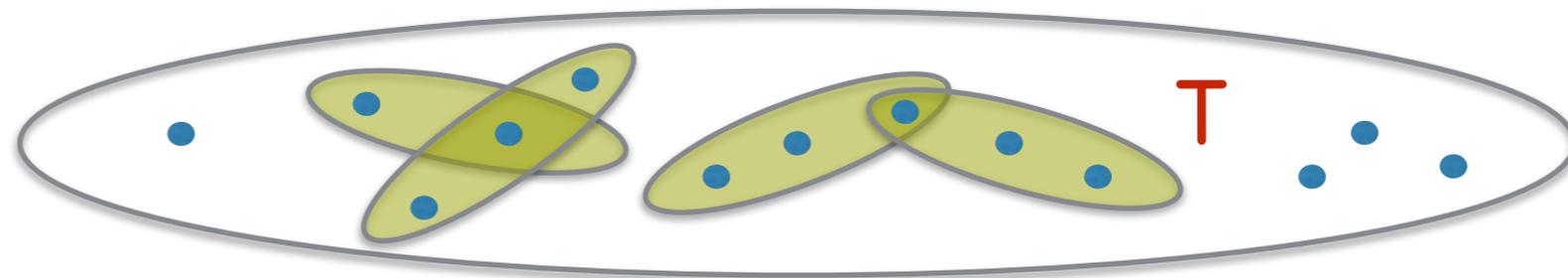
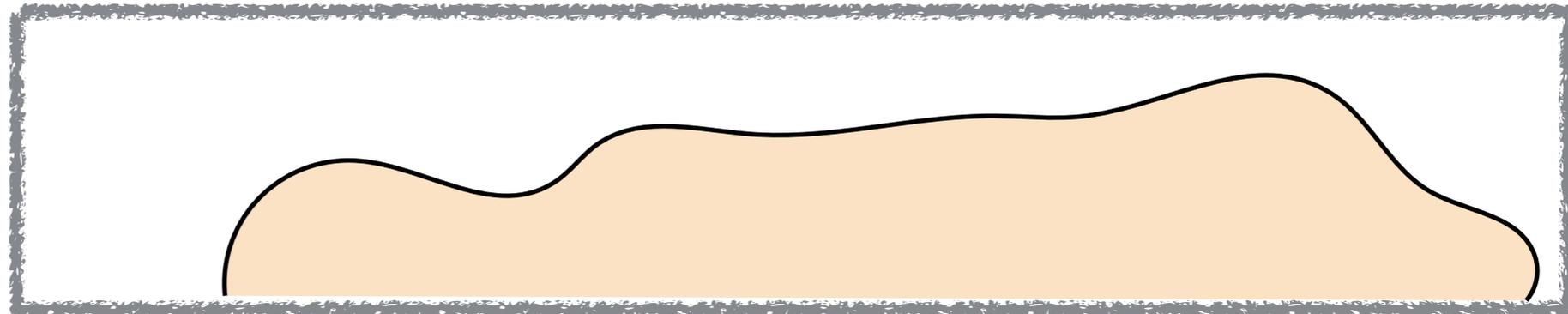


Terminal set

Digression: Steiner tree approximate kernel

For every error parameter $0 < \epsilon < 1$, there is a $p(1/\epsilon)$ such that any set containing the optimal steiner tree for EVERY $p(1/\epsilon)$ -sized subset of T , also contains a $(1+\epsilon)$ approximate Steiner Tree for every $R \subseteq T$.

Borchers and Du, 95



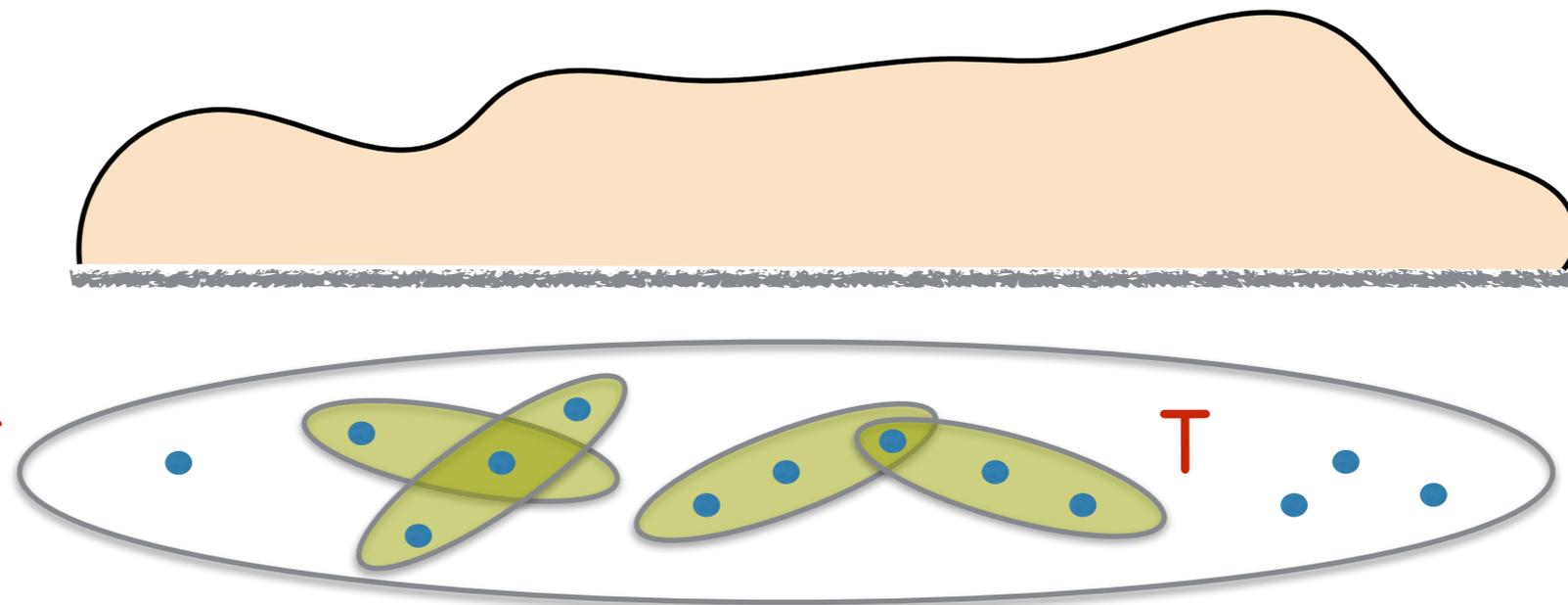
Digression: Steiner tree approximate kernel

For every error parameter $0 < \epsilon < 1$, there is a $p(1/\epsilon)$ such that any set containing the optimal steiner tree for EVERY $p(1/\epsilon)$ -sized subset of T , also contains a $(1+\epsilon)$ approximate Steiner Tree for every $R \subseteq T$.

Borchers and Du, 95

Total number
of vertices \leq
 $|T| + q \cdot |T|^{p(1/\epsilon)}$

q is a bound on the number
of vertices of the
steiner tree outside T

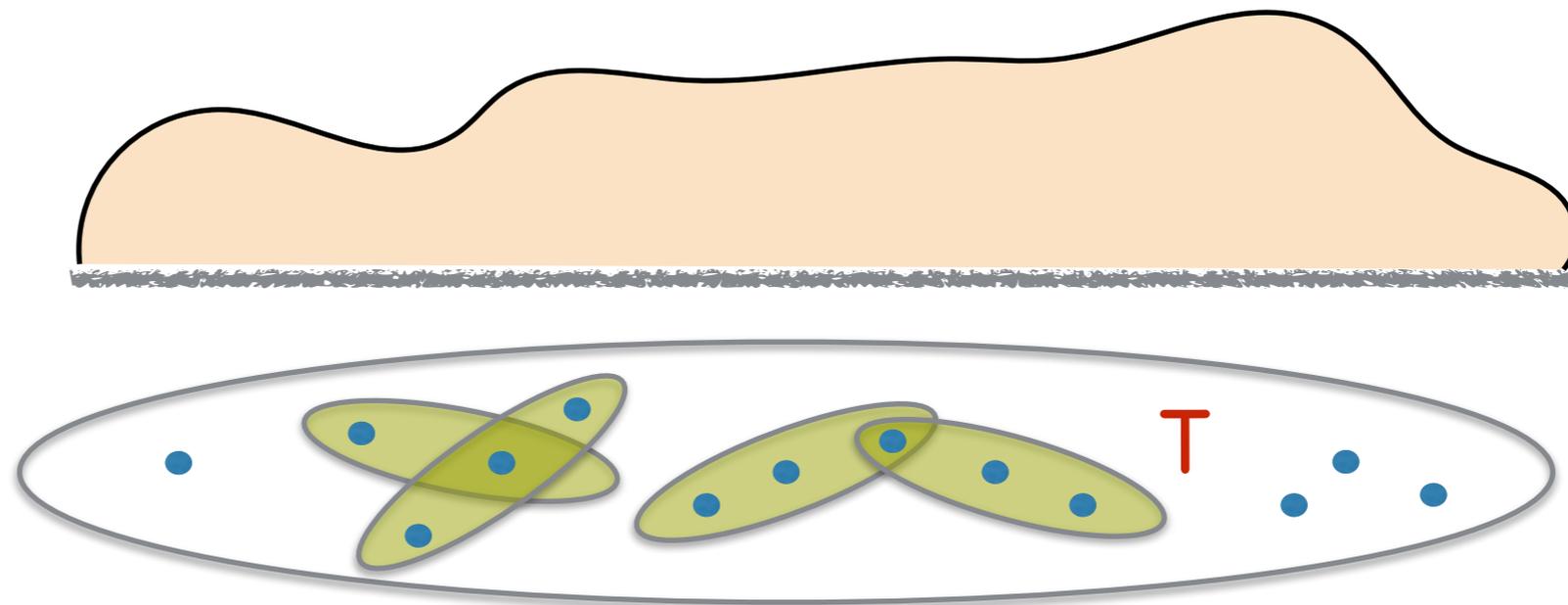


Using the Steiner tree approximate kernel in our setting

For every error parameter $0 < \epsilon < 1$, there is a $p(1/\epsilon)$ such that any set containing the optimal steiner tree for EVERY $p(1/\epsilon)$ -sized subset of T , also contains a $(1+\epsilon)$ approximate Steiner Tree for every $R \subseteq T$.

Borchers and Du, 95

Total number
of vertices \leq
 $|T| + q \cdot |T|^{p(1/\epsilon)}$



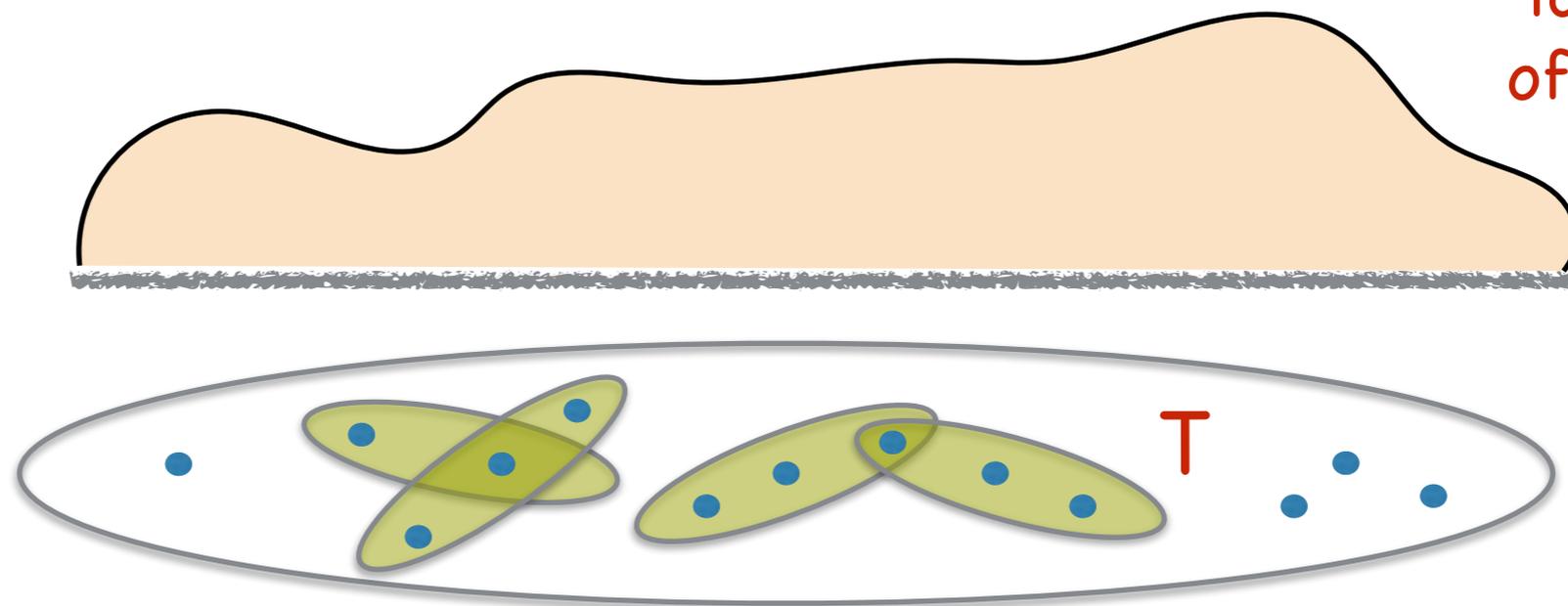
But for us, $q = k$ and $|T| = |V(G')| = k^{O(d)}$

Using the Steiner tree approximate kernel in our setting

For every error parameter $0 < \epsilon < 1$, there is a $p(1/\epsilon)$ such that any set containing the optimal steiner tree for EVERY $p(1/\epsilon)$ -sized subset of T , also contains a $(1+\epsilon)$ approximate Steiner Tree for every $R \subseteq T$.

Borchers and Du, 95

Total number of vertices $\leq |T| + q \cdot |T|^{p(1/\epsilon)}$



Total number of vertices $\leq k^{O(d \cdot p(1/\epsilon))}$

But for us, $q = k$ and $|T| = |V(G')| = k^{O(d)}$

Wrap up: the PSAKS for Connected VC can be generalized to a PSAKS for Connected H-hitting set

Other questions to attack using lossy
kernels

Other questions to attack using lossy kernels

Other questions to attack using lossy kernels

No reason why the study of approximate kernels should be restricted to problems without polynomial kernels

approx factor vs kernel size

Dominating Set on d -degenerate graphs

a vertex set S such that every vertex in $V(G) \setminus S$ is adjacent to a vertex in S .

a graph where every subgraph has a vertex of degree at most d

There is a d^2 -approximation [Jones et al.]

There is a kernel of size $k^{O(d^2)}$ [Philip et al.]

Cannot have a kernel of size $k^{o(d^2)}$ [Cygan et al.]

approx factor vs kernel size

Dominating Set on d -degenerate graphs

a vertex set S such that every vertex in $V(G) \setminus S$ is adjacent to a vertex in S .

a graph where every subgraph has a vertex of degree at most d

There is a d^2 -approximate kernel of size $O(1)$

There is a 1-approximate kernel of size $k^{O(d^2)}$

approx factor vs kernel size

Dominating Set on d -degenerate graphs

a vertex set S such that every vertex in $V(G) \setminus S$ is adjacent to a vertex in S .

a graph where every subgraph has a vertex of degree at most d

There is a d^2 -approximate kernel of size $O(1)$

There is a 1-approximate kernel of size $k^{O(d^2)}$

Is there a curve interpolating between these two extremes?

approx factor vs kernel size

Dominating Set on d -degenerate graphs

a vertex set S such that every vertex in $V(G) \setminus S$ is adjacent to a vertex in S .

a graph where every subgraph has a vertex of degree at most d

[Eiben, Hermelin, R. 17]

For every ρ in $\{1, \dots, d\}$ there is a (d/ρ) -approximate kernel of size $k^{O(\rho d)}$

approx factor vs kernel size

Open Problem:

What about d -hitting set?

There is a d -approximate kernel of size $O(1)$

There is a 1-approximate kernel of size $k^{O(d)}$

Is there a curve interpolating between these two extremes?

Uniform vs non-uniform kernels

Treewidth- t Deletion

Given a graph G , find a smallest vertex subset S such that $\text{tw}(G-S) \leq t$?

When $t=0$, then Vertex Cover problem
when $t=1$, then Feedback Vertex Set....

Uniform vs non-uniform kernels

Treewidth- t deletion problem has a kernel of size $k^{f(t)}$

[Fomin, Misra, Lokshтанov, Saurabh, 12]

Treewidth- t deletion problem does not have a kernel of size $f(t) k^{O(1)}$ unless NP in coNP/poly.

[Giannopolou, Jansen, Lokshтанov, Saurabh, 15]

Uniform vs non-uniform kernels

Treewidth- t deletion problem has a kernel of size $k^{f(t)}$

[Fomin, Misra, Lokshтанov, Saurabh, 12]

Treewidth- t deletion problem does not have a kernel of size $f(t) k^{O(1)}$ unless NP in coNP/poly.

[Giannopolou, Jansen, Lokshтанov, Saurabh, 15]

Treewidth- t deletion problem has a $(1+\epsilon)$ -approximate kernel of size $f(t) k^3$

[Koutecký, Lokshтанov, Misra, Saurabh, Sharma, Zehavi 17]

Longest Path

Longest Path

Given a graph G , what is the length of a longest path in G ?

Longest Path

Longest Path

No poly
kernel

no const approx

No c -approximate poly
kernel unless NP in
coNP/Poly

Longest Path

Longest Path

No poly
kernel

no const approx

No c -approximate poly
kernel unless NP in
coNP/Poly

Previously

A problem is in \mathcal{P}
if and only if
it has a constant size kernel.

A problem has a poly time α -approximation
if and only if
it has a constant size α -approximate kernel

Now

Previously

A problem is FPT
if and only if
it has a kernel.

A problem has an FPT time α -approximation
if and only if
it has a α -approximate kernel

Now

Take home message

- For many problems, allowing a small loss in accuracy, gives a dramatic improvement in kernel size.
- Interesting questions even for problems with poly kernels!
- Does not work for all problems! There is a lower bound machinery.
- If you like kernelization and/or approximation, you will like



as well!

Open Problems



- ◆ Many many many open problems.

What about Directed Feedback Vertex Set?

Thank you for your attention!