

LOSSY KERNELIZATION II

RECENT ADVANCES IN PARAMETERIZED COMPLEXITY

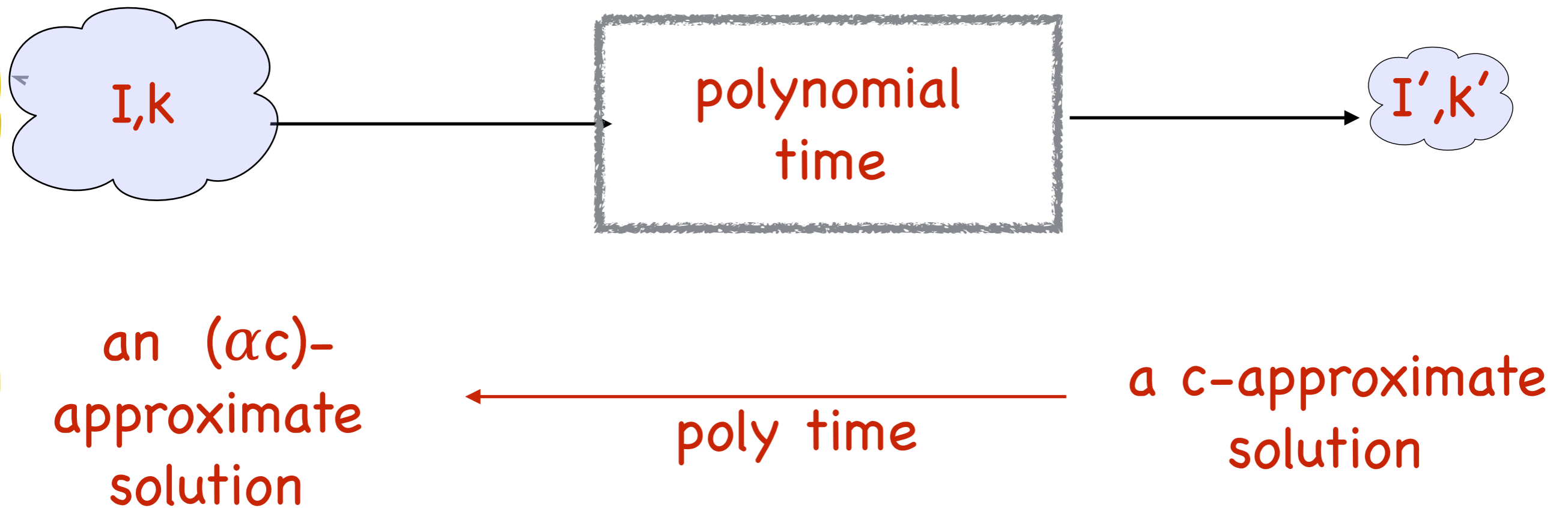
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α -approximate Kernelization



Polynomial α -approximate Kernel if $|I'|+k' < \text{poly}(k)$

Disjoint Factors

a-factor

abdeabdfbafcaddgcag

a-factor

Disjoint Factors

b-factor

abdeabdfbafcaddgag

b-factor

Disjoint Factors

Given a string L over alphabet Σ , what is the maximum number of DISJOINT factors one can pack?

PARAMETER: $|\Sigma|$

In the decision version, we ask:
can we pack $|\Sigma|$ disjoint factors or
not?

Disjoint Factors

Given a string L over alphabet Σ , what is the maximum number of **DISJOINT** factors one can pack?

2-approximable

FPT

APX hard

no polynomial kernel [BTY '11]
(also from Michal's talk)

(1+ ϵ)-approximate
kernel of
polynomial size

PSAKS

PSAKS for Disjoint Factors

Strategy?

1. Express Disjoint Factors as a special kind of independent set problem on interval graphs.
2. Get a PSAKS for this problem

$$(\text{size } |\Sigma|^{O(1/\varepsilon \log 1/\varepsilon)}) = \text{PARAMETER}^{O(1/\varepsilon \log 1/\varepsilon)}$$

PSAKS for Disjoint Factors

1. Express Disjoint Factors as a special kind of independent set problem on interval graphs.

vertex corresponding
to this interval with
label a

Intervals
corresponding to
MINIMAL factors

abdeabdfbafcaddgcag

vertex corresponding
to this interval with
label a

PSAKS for Disjoint Factors

1. Express Disjoint Factors as a special kind of independent set problem on interval graphs.

vertex corresponding
to this interval with
label b

abdeabdfbafcaddgcag

vertex corresponding
to this interval with
label b

Edges defined in
the natural way.

PSAKS for Disjoint Factors

1. Express Disjoint Factors as a special kind of independent set problem on interval graphs.

vertex corresponding
to this interval with
label b

abdeabdfbafcaddgcag

vertex corresponding
to this interval with
label b

Obtain interval
graph G with each
vertex having one
of $|\Sigma|$ labels

AND

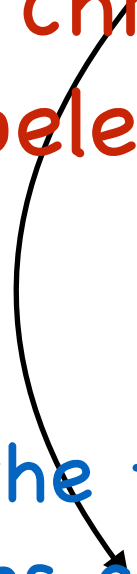
Disjoint Factors are
precisely
independent sets of
 G where no label
repeats.

Labeled Interval Independent Set (LIIS)

Given a labeled interval graph G with $|\Sigma|$ labels and chromatic number $2|\Sigma|$, find a diversely labeled independent set of maximum size.

PARAMETER: $|\Sigma|$

follows from the fact that we only
made vertices corresponding to
MINIMAL factors



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Can be used to ensure that each
label induces an independent set in
 G while blowing up the label set
by a factor of $2|\Sigma|$

Labeled Interval Independent Set (LIIS)

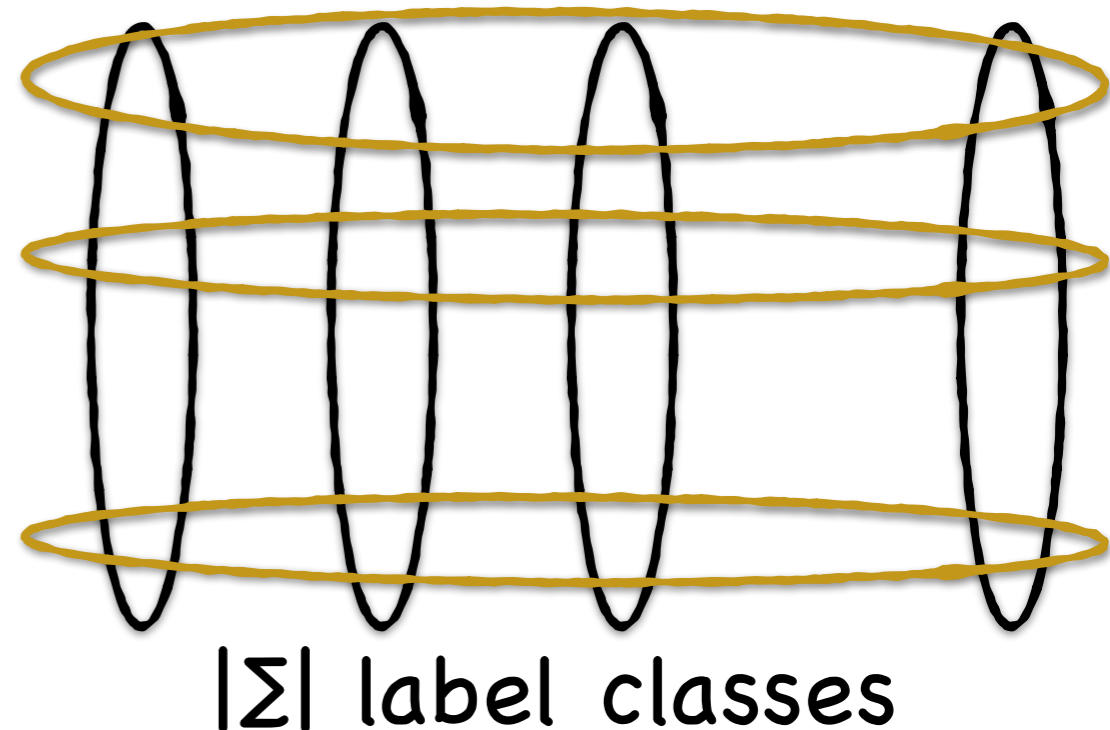
Given a labeled interval graph G with $|\Sigma|$ labels and chromatic number $2|\Sigma|$, find a diversely labeled independent set of maximum size.

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Can be used to ensure that each label induces an independent set in G while blowing up the label set by a factor of only $2|\Sigma|$

$2|\Sigma|$ color classes

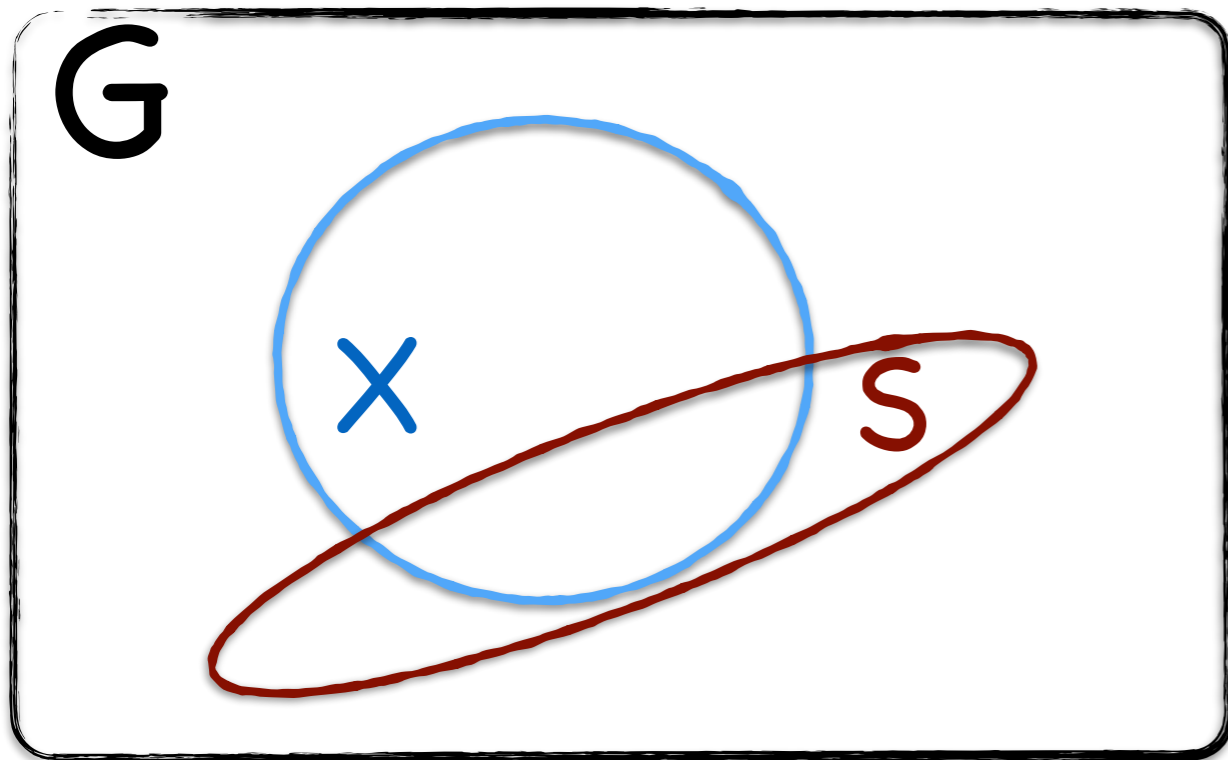


Labeled Interval Independent Set (LIIS)

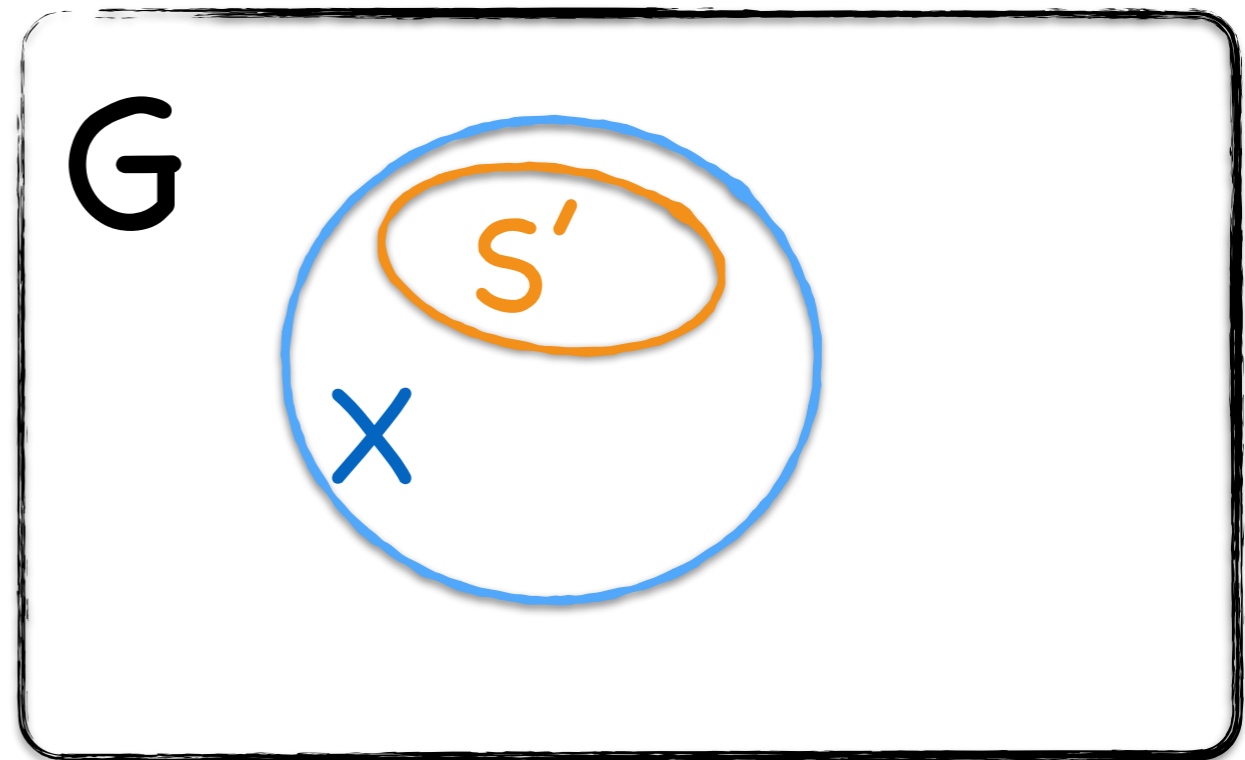
Cute Covering Lemma: G has a vertex subset X of size $|\Sigma|^{O(1/\varepsilon \log 1/\varepsilon)}$ such that

Labeled Interval Independent Set (LIIS)

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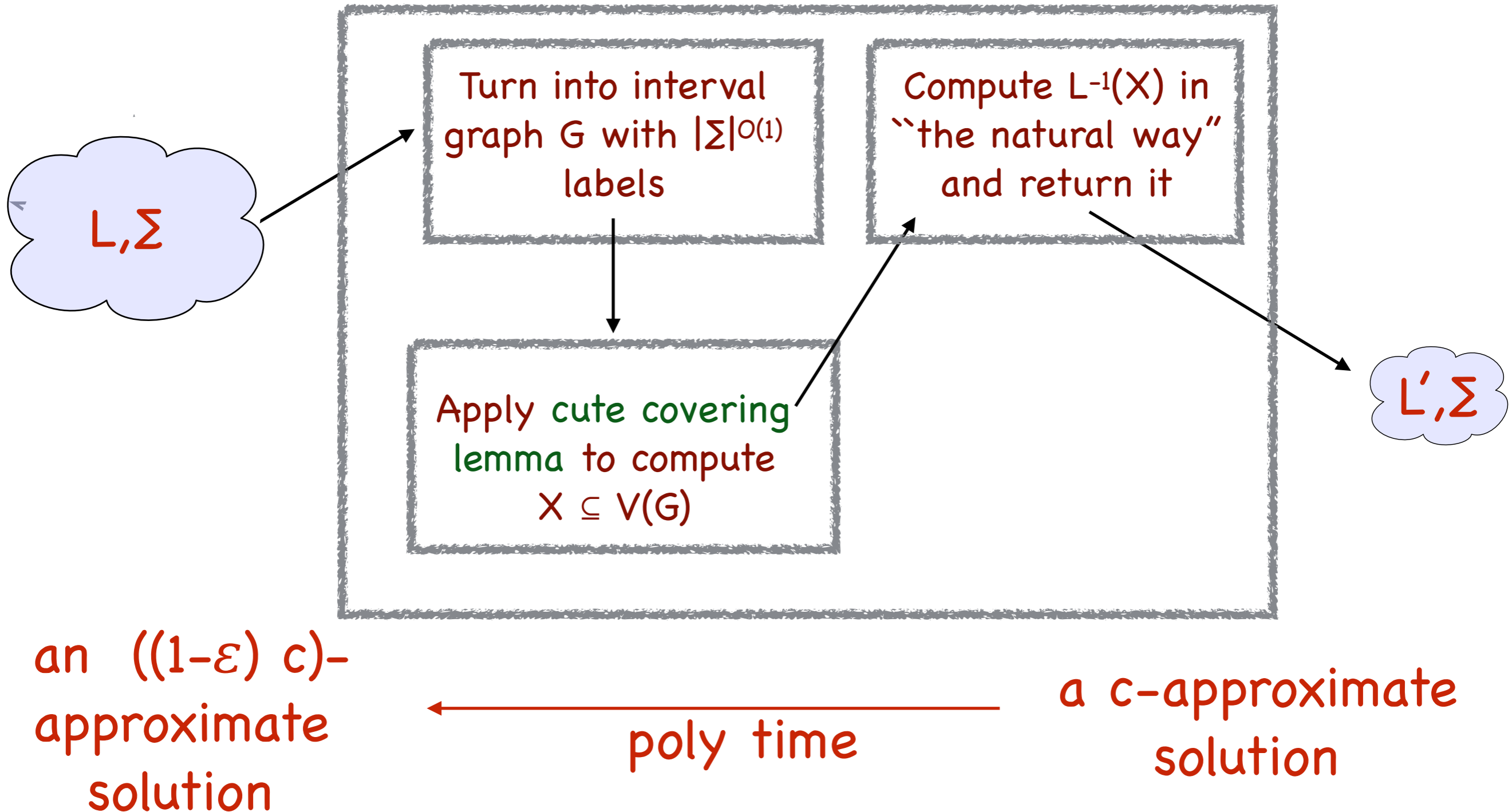
For any
independent set S
in G



There is an independent set S' in X

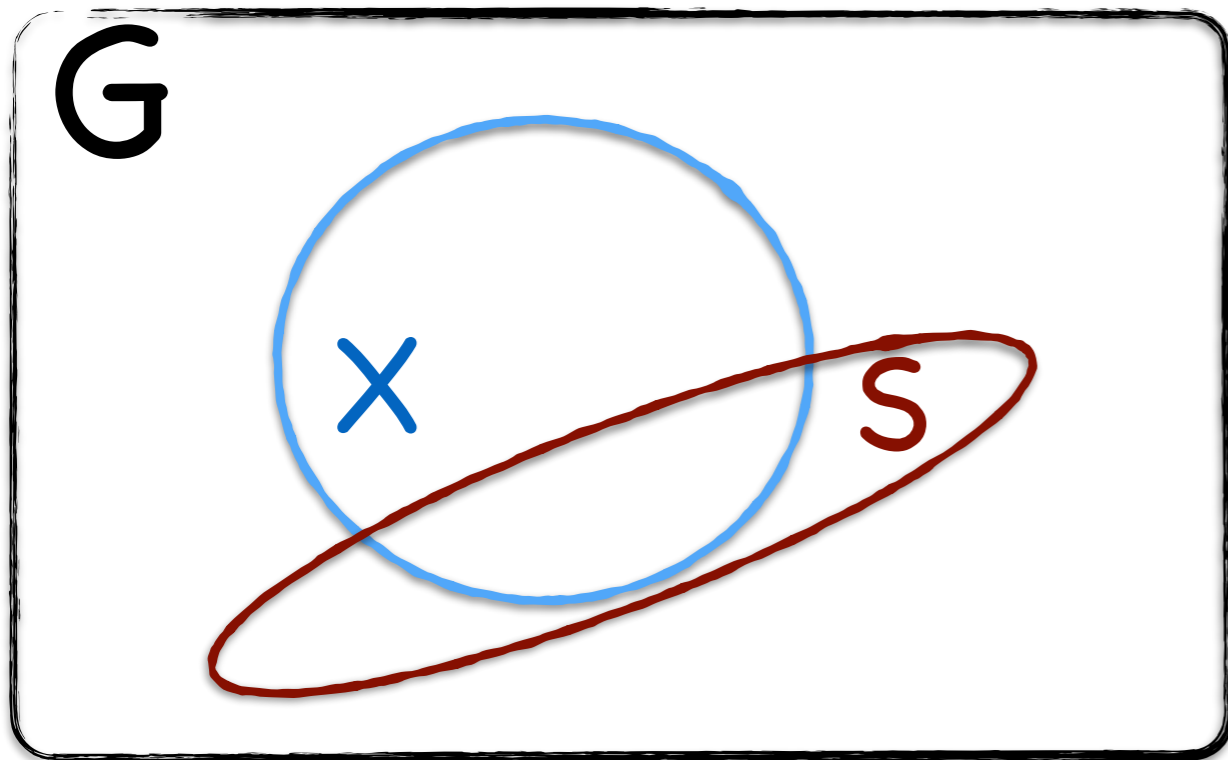
1. $\text{Label-set}(S') \subseteq \text{Label-set}(S)$ and
2. $|\text{Label-set}(S')| \geq (1-\varepsilon) |\text{Label-set}(S)|$

PSAKS for Disjoint Factors

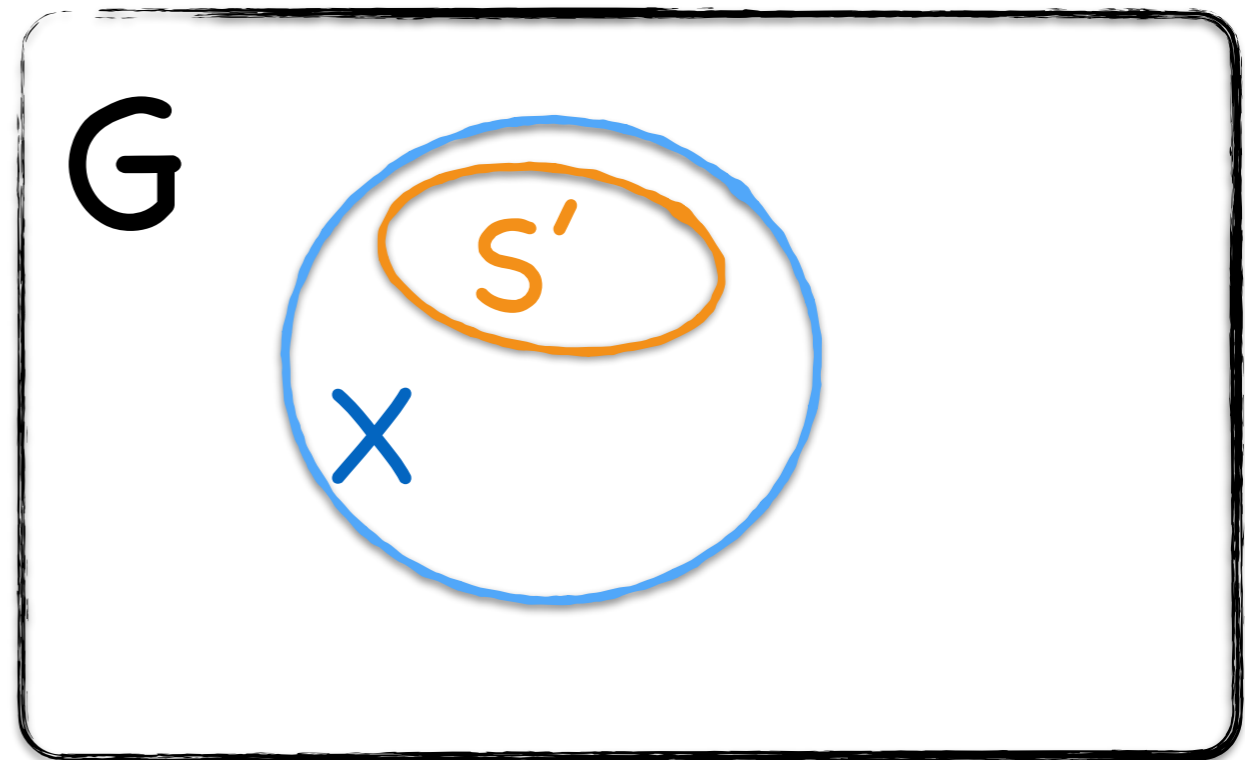


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Labeled Interval Independent Set (LIIS)

Rich Labels

Have more than K
occurrences



Poor Labels

Have fewer than K
occurrences



$K = \text{poly}(|\Sigma|)$ is the total
number of labels

Labeled Interval Independent Set (LIIS)

Rich Labels

Have more than K
occurrences



We can always find an
independent set in G
spanning ALL rich labels



Greedily pick the left-most
inclusion-wise minimal
“unspanned” label, delete it
and recurse.

Labeled Interval Independent Set (LIIS)

Rich Labels

Have more than K
occurrences



We can easily take care of independent sets in G that contain only rich labels.

i.e.: the set X that we need is easily defined

Labeled Interval Independent Set (LIIS)

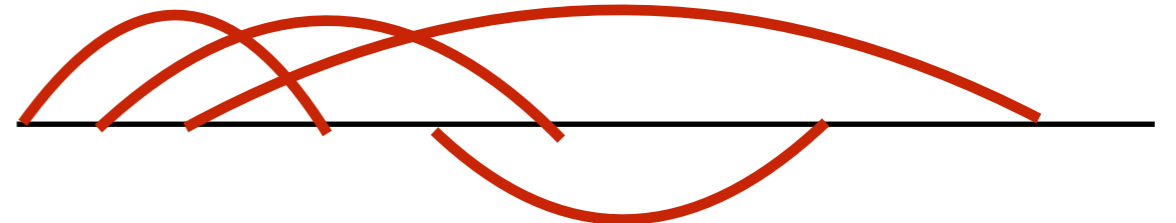
Poor Labels

Have fewer than K
occurrences



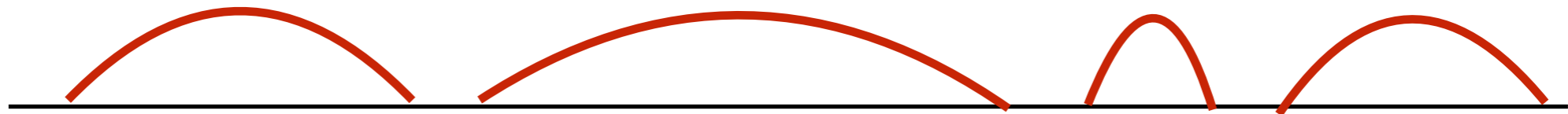
FEW occurrences of poor
labels anyway - only K
occurrences.

So these labels define a
set of K^2 intervals in G



Labeled Interval Independent Set (LIIS)

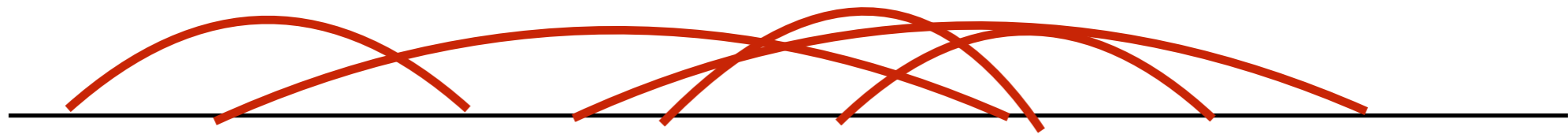
Construction of X



1. Compute rich labels and greedily find an independent set spanning them (one exists!). Mark the endpoints of the corresponding intervals.

Labeled Interval Independent Set (LIIS)

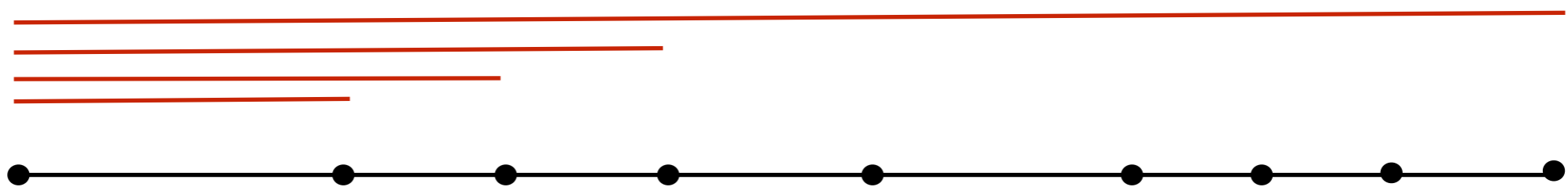
Construction of X



2. Compute poor labels and mark all $O(K^2)$ endpoints of the corresponding intervals.

Labeled Interval Independent Set (LIIS)

Construction of X

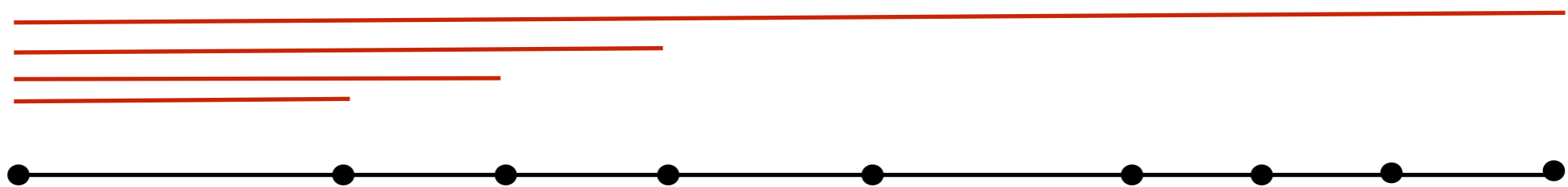


3. Zoom into all $O(K^4)$ intervals defined by the marked set of endpoints and simply recursively compute the set X corresponding to each interval

Finally, return the union of the sets returned by the recursions and the vertices corresponding to the intervals we marked in this step.

Labeled Interval Independent Set (LIIS)

Terminating Condition?



Just stop the recursion at a depth of $c \cdot \frac{1}{\epsilon} \log \frac{1}{\epsilon}$. So the size of the set returned satisfies the required bound.

DANGER: we could lose out on many labels of the target independent set when we make the termination in such an "arbitrary" manner.

Labeled Interval Independent Set (LIIS)

Let I be the target independent set we want X to “capture”

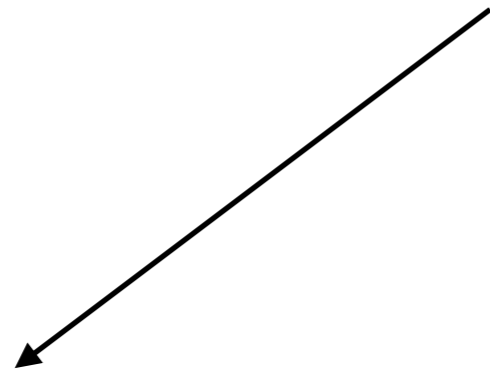


I contains
 $\geq (1-\varepsilon)|I|$ rich
labels

I contains
 $< (1-\varepsilon)|I|$ rich
labels

Labeled Interval Independent Set (LIIS)

Let I be the target independent set we want X to “capture”



I contains
 $\geq (1-\varepsilon)|I|$ rich
labels

We already greedily
picked an independent
set that spans ALL rich
labels

We lose
 $\leq \varepsilon|I|$ labels of I

Labeled Interval Independent Set (LIIS)

Let I be the target independent set we want X to “capture”

We already marked the endpoints of ALL poor labels

and pushed the job of approximating the rich labels to the recursive calls



I contains $\langle (1-\epsilon)|I|$ rich labels

Labeled Interval Independent Set (LIIS)

Let I be the target independent set we want X to "capture"

We already marked the endpoints of ALL poor labels

I contains $<(1-\varepsilon)|I|$ rich labels

We push $<= (1-\varepsilon)|I|$ labels of I to the next level of recursion

Labeled Interval Independent Set (LIIS)

Let I be the target independent set we want X to “capture”

We already marked the endpoints of ALL poor labels

I contains $<(1-\varepsilon)|I|$ rich labels

Roughly
 $\leq (1-\varepsilon)^{c \cdot 1/\varepsilon \log 1/\varepsilon} \leq \varepsilon|I|$ labels of I
survive without being captured at the
bottom of recursion

Labeled Interval Independent Set (LIIS)

Let I be the target independent set we want X to “capture”



I contains
 $\geq (1-\varepsilon)|I|$ rich
labels

I contains
 $< (1-\varepsilon)|I|$ rich
labels

In total, $\leq 2\varepsilon|I|$ labels of I can be missing in the very end!

Labeled Interval Independent Set (LIIS)

For any I , the set X we computed spans all but $\leq 2\varepsilon|I|$ labels of I

Cute Covering
Lemma proved!

Disjoint Factors

Cute Covering Lemma \rightarrow
PSAKS for Disjoint Factors

Cycle Packing

$k^k \log k$
kernel

$\log n - \text{Appx}$

Cycle Packing

No poly kernel

no const approx

Cycle Packing

$k^k \log k$
kernel

$\log n - \text{Appx}$

Cycle Packing

No poly kernel

no const approx

$(1+\epsilon)$ -approximate
kernel of
polynomial size

Lower Bounds

Lower Bounds

A problem has a poly time α -approximation
if and only if
it has a α -approximate kernel of constant size

A problem has an FPT α -approximation
if and only if
it has a α -approximate kernel of SOME size

Longest Path

Longest Path

Given a graph G , what is the length of a longest path in G ?

Longest Path

Longest Path

No poly
kernel

no const approx

No c -approximate poly
kernel unless NP in
coNP/Poly

Longest Path

Longest Path

No poly
kernel

no const approx

No c -approximate poly
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coNP/Poly

Lower Bounds

Ruling out c -approximate polynomial kernels

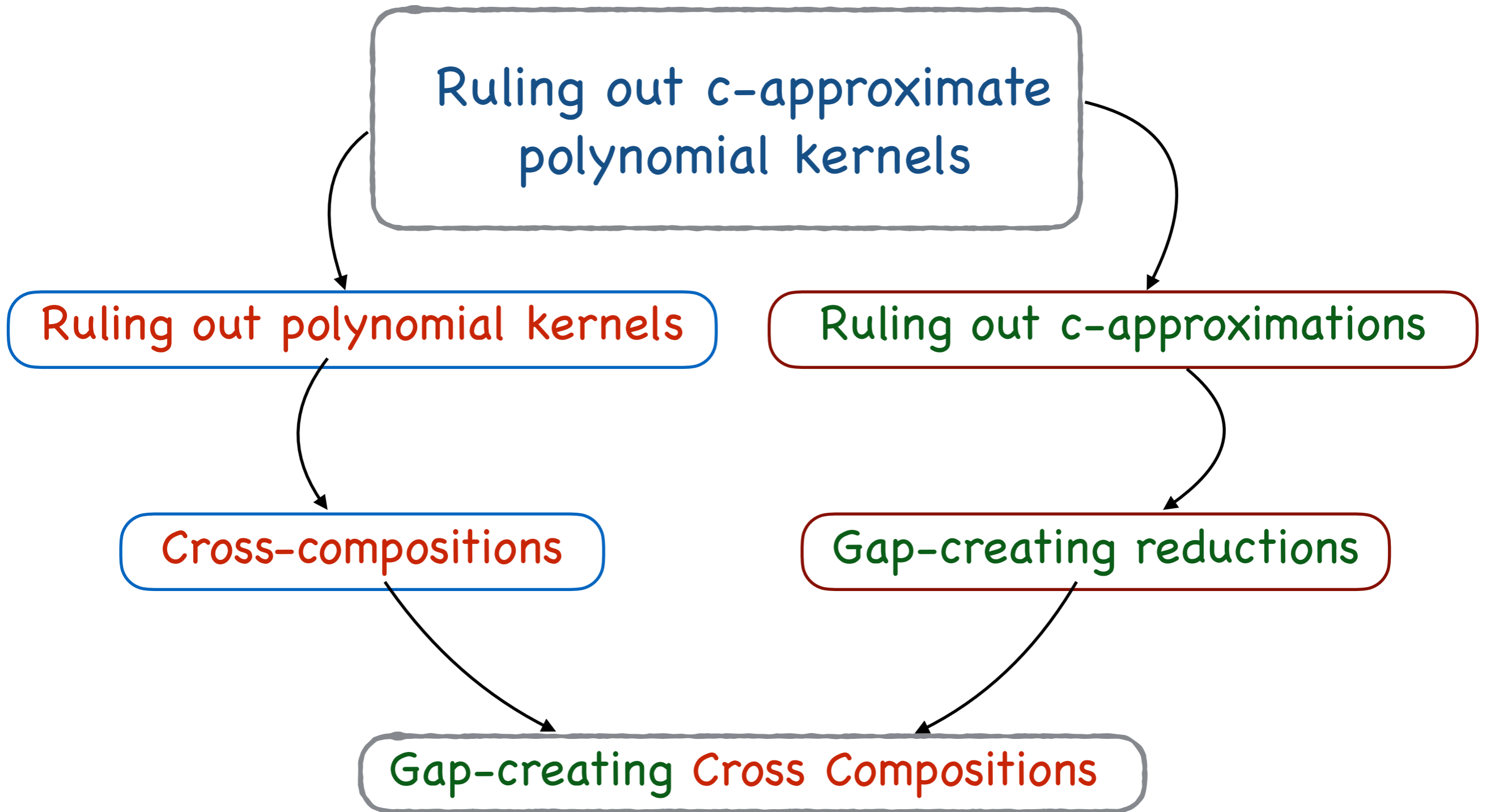
Ruling out polynomial kernels

Ruling out c -approximations

Cross-compositions

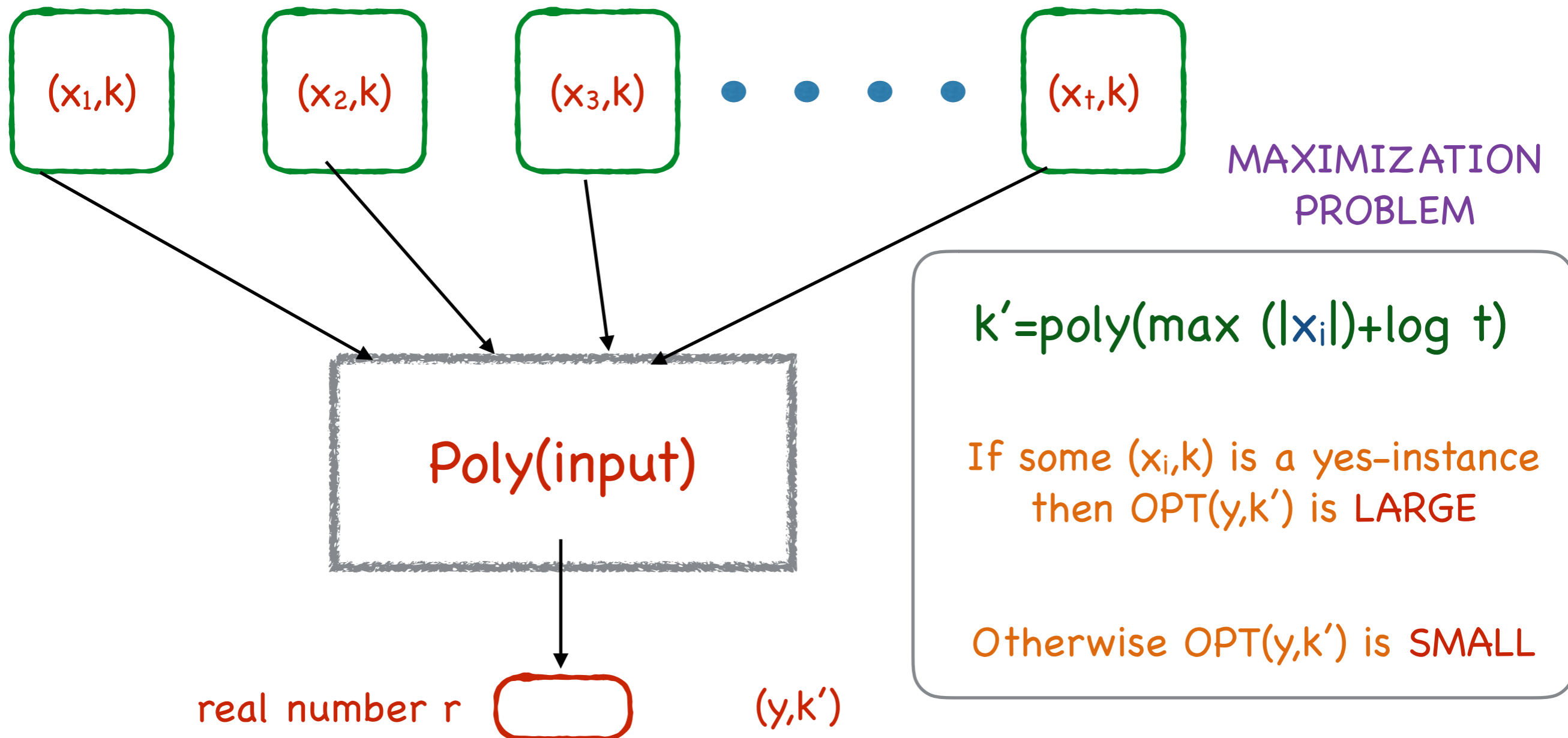
Gap-creating reductions

Gap-creating Cross Compositions



Lower Bounds

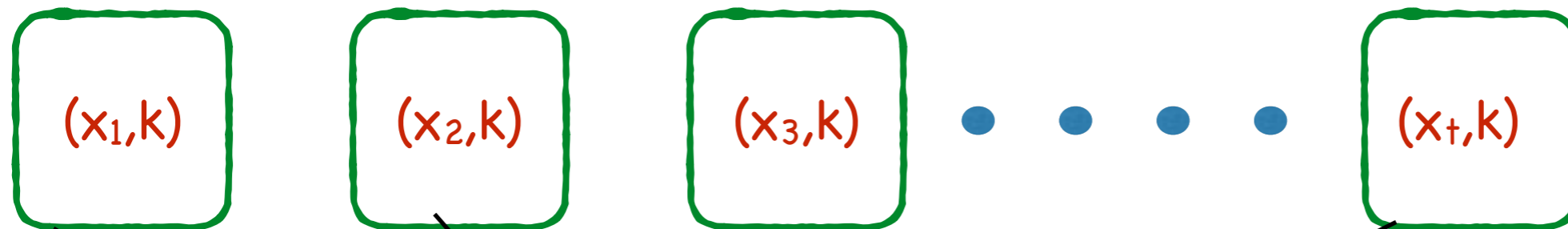
Gap-creating Cross Compositions



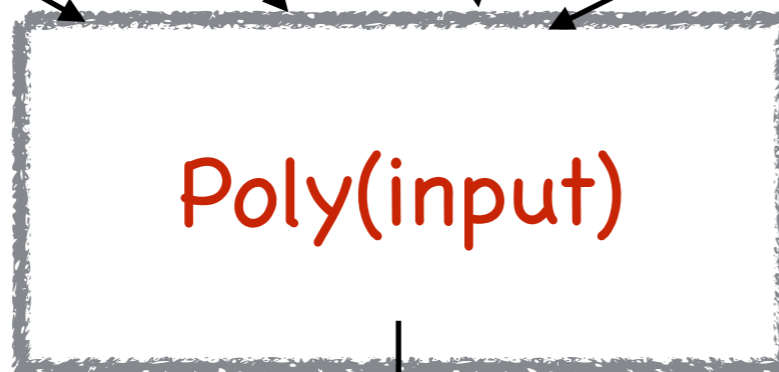
Lower Bounds

α

Gap-creating Cross Compositions



MAXIMIZATION
PROBLEM



real number r



(y, k')

$$k' = \text{poly}(\max(|x_i|) + \log t)$$

If some (x_i, k) is a yes-instance
then $\text{OPT}(y, k') \geq r$

Otherwise $\text{OPT}(y, k') < r/\alpha$

Lower Bounds

~~~~ $\alpha$ ~~~~

Gap-creating Cross Compositions

Problem P

$\alpha$ -Gap-creating Cross Composition +  
 $\alpha$ -approximate polynomial  
compression



# Lower Bounds

$\alpha$ -gap Long Path  $\alpha$ -gap cross composes into  
Longest Path



Longest Path has no  $\alpha$ -approximate  
polynomial kernel unless NP in coNP/poly.

# More Lower Bounds

Set Cover (universe size) has no  $\alpha$ -approximate polynomial kernel unless NP in coNP/poly.

In previous lower bounds for (standard) kernels, this problem was very useful starting point for polynomial parameter transformations.

# $\alpha$ -approximate Poly. Param. Transformations

Reductions to rule out  
approximate polynomial  
kernels

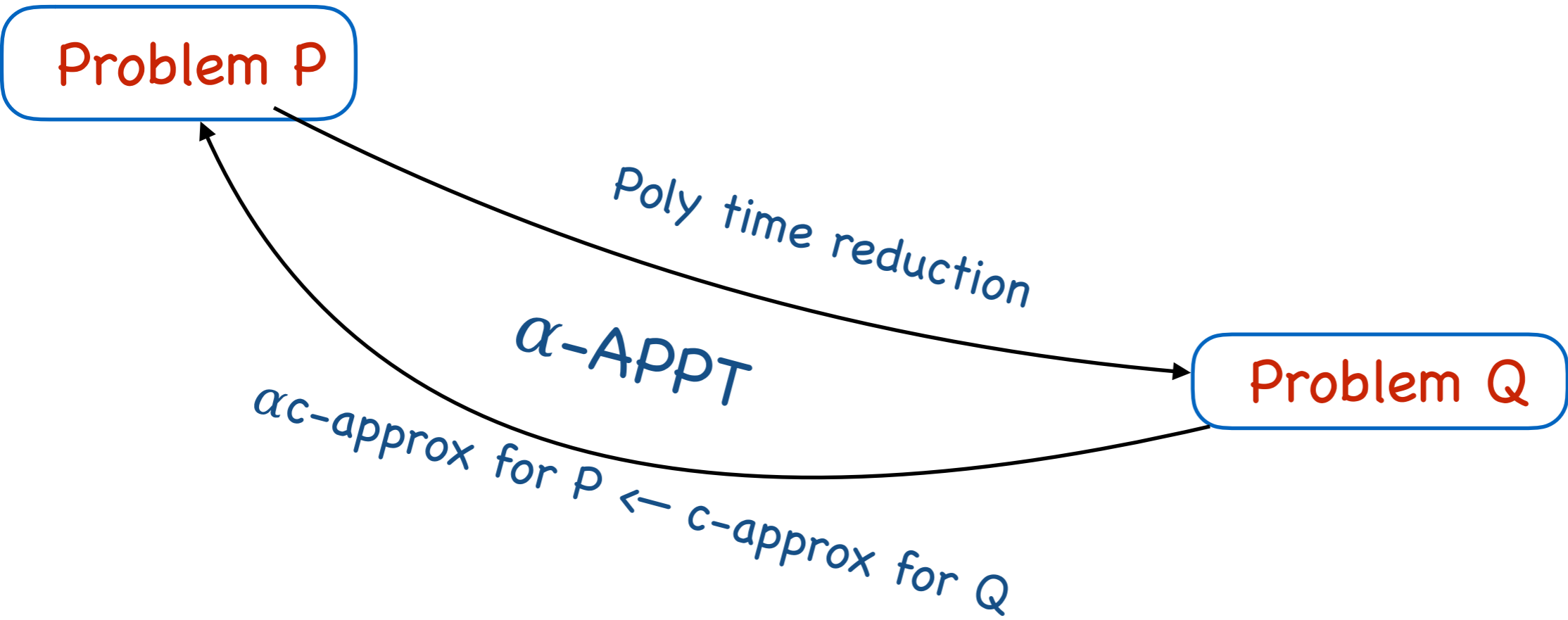
Problem P

Poly time reduction

$\alpha$ -APPT

$\alpha c$ -approx for P  $\leftarrow$  c-approx for Q

Problem Q



# $\alpha$ -approximate Poly. Param. Transformations

Reductions to rule out approximate polynomial kernels

Problem P

compress(Q)

Problem Q

Poly time reduction

$\alpha$ -APPT

$\alpha c$ -approx for P  $\leftarrow$   $c$ -approx for Q

$\beta/\alpha$ -approx. poly compression

# $\alpha$ -approximate Poly. Param. Transformations

Reductions to rule out  
approximate polynomial  
kernels

Problem  $P$

$\beta$ -approximate polynomial  
compression

compress( $Q$ )

no  $\beta$ -approximate polynomial compression for  $P$  +  $\alpha$ -APPT from  $P$  to  $Q$   $\rightarrow$   
no  $\beta/\alpha$ -approx. poly compression for  $Q$

# Take home message

- Many problems for which we have no polykernel results  
→ what about approximate kernels for these?
- Or are they like Longest Path, Set Cover (n) and don't even have an approximate kernel?
- What about Directed Feedback Vertex Set? Does it at least have an approximate polynomial kernel?

Thank you for your attention!